# **Return of Organization Exempt From Income Tax**

orm **990** 

Department of the Treasury Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

20 19

Open to Public Inspection

| AF                             | or th      | e 201  | 9 calendar year, or tax year begin                | 111111 <b>9</b> 077                               | <b>∪⊥, 2019,</b>  | and ending     | 9           |  | 06/30,             | 20 20  |
|--------------------------------|------------|--|---|---|-------------------|----------------|-------------|--|--------------------|--|
| Всн                            | neck if ap | oplicable:   | C Name of organization RISING GROUND, INC.        |   |                   |                |             | D Employer ide                             | ntification r      | number                                       |
| X                              | Addre      |  | Doing Business As                                 |   |                   |                | $\neg \neg$ | 13-1860                                    | 451                |  |
|                                | 1 1        | change   | Number and street (or P.O. box if mail is         | not delivered to street address                   | 5)                | Room/suite     |             | E Telephone nu                             | ımber              |  |
|                                | †          | return   | 151 LAWRENCE STREET, 5                            | 5TH FLOOR,  |                   |                |             | (914) 375                                  | 5-8717             |  |
|                                | Termi      |  | City or town, state or province, country, a       | and ZIP or foreign postal code                    |                   |                |             | ,  |                    |  |
|                                | Amen       | ided   | BROOKLYN, NY 11201                                |   |                   |                |             | <b>G</b> Gross receipt                     | s \$ 12            | 2,555,786.                                   |
|                                |            | cation   | F Name and address of principal officer:          | ALAN MUCATEL                                      |                   |                |             | H(a) Is this a grou                        | p return for       | Yes X No                                     |
|                                | _ pendi    | ng   | 151 LAWRENCE STREET, !                            |   | KLYN. NY          | 11201          |             | subordinates? <b>H(b)</b> Are all subordin |                    | Yes No                                       |
| _                              | Тах-ех     | empt st  | <u> </u>  | ) <b> </b>  | 4947(a)(1) o      |                |             |  | n a list. (see in: |  |
|                                |            | _ '  | WWW.RISINGGROUND.ORG                              | ) (IIISert IIO.)                                  | 4347 (a)(1) 0     | 1   327        |             | H(c) Group exemp                           |                    |  |
|                                |            |  |   | Association Other                                 |                   | I Vear of      |             | on: 1831 <b>M</b> :                        |                    | <u>*                                    </u> |
|                                | art I      |  | mmary   | Association Other                                 |                   | L real of      | TOTTTALL    | OII. 1031 W                                | state of lega      | ruomicile. 111                               |
| ГС                             |            |  | y describe the organization's mission of          | v mont nimpifinant notivities                     | . RISING          | CROTIND        | TCI         |  | TO SIID            | DORTING                                      |
| •                              | '          | CHT  | LDREN, ADULTS, AND FAMII                          | r most significant activities<br>∵.TFS WORKTNC TO | CETHER            | WE CREZ        |             | STRONG                                     |                    |  |
| ınce                           |            |  | NDATIONS FOR SUCCESS.                             |   |                   |                |             |  |                    |  |
| rus                            | _          |  | <del></del>                                       |   |                   |                |             |  |                    |  |
| Governance                     |            |  | k this box  if the organization d                 |   |                   |                |             |  | 1                  | 20.  |
|                                |            |  | per of voting members of the governing            |   |                   |                |             |  | 3                  | 20.  |
| es                             |            |  | per of independent voting members of t            |   |                   |                |             |  | 4                  | 1,711.                                       |
| Activities &                   |            |  | number of individuals employed in cale            |   |                   |                |             | I  | 5                  |  |
| \cti                           |            |  | number of volunteers (estimate if necess          |   |                   |                |             |  | 6                  | 75.  |
| 4                              |            |  | unrelated business revenue from Part V            |   |                   |                |             |  | 7a                 | 0  |
|                                | b          | Net u  | nrelated business taxable income from             | Form 990-T, line 34                               |                   |                |             |  | 7b                 | 0  |
|                                |            |  |   |   | Prior Year        |                | urrent Year |  |                    |  |
| e.                             |            | Contri   | ibutions and grants (Part VIII, line 1h)          |   | СОРУ              | FOR            |             | 1,829,61                                   |                    | 1,708,860                                    |
| /eni                           | 9          |  | am service revenue (Part VIII, line 2g)           |   | PUBLIC IN         | -              |             | 01,457,24                                  |                    | 15,591,452                                   |
| Revenue                        | 10         |  | tment income (Part VIII, column (A), line         |   |                   |                |             | 835,56                                     |                    | 424,260                                      |
|                                | 11         |  |   |   |                   |                |             | 1,108,45                                   |                    | 1,323,842                                    |
|                                | 12         |  | revenue - add lines 8 through 11 (must            |   |                   |                | 1           | 05,230,87                                  |                    | 19,048,414                                   |
|                                | 13         | Grants and similar amounts paid (Part IX, column (A), lines 1-3) |   |   |                   |                |             | 6,364,65                                   | 1.                 | 8,718,145                                    |
|                                | 14         | Benefits paid to or for members (Part IX, column (A), line 4)    |   |   |                   |                |             |  | 0.                 | 0  |
| SS                             |            |  | ies, other compensation, employee bene            |   |                   |                |             | 74,791,33                                  | 2.                 | 84,237,620                                   |
| Expenses                       | 16a        | Profes   | ssional fundraising fees (Part IX, column         | n (A), line 11e)                                  |                   |                |             |  | 0.                 | 0  |
| жbе                            | b          | Total  | fundraising expenses (Part IX, column (I          | D), line 25) ▶                                    | 765 <b>,</b> 832. | ·              |             |  |                    |  |
| ш                              | 17         | Other  | expenses (Part IX, column (A), lines 11           | a-11d, 11f-24e)                                   |                   |                |             | 25,270,81                                  | 0.                 | 29,722,732                                   |
|                                |            |  | expenses. Add lines 13-17 (must equal             |   |                   |                | 1           | 06,426,79                                  | 3. 1:              | 22,678,497                                   |
|                                | 19         |  | nue less expenses. Subtract line 18 from          |   |                   | Г              |             | -1,195,91                                  | 8.                 | -3,630,083                                   |
| or                             |            |  |   |   |                   |                | Beginn      | ning of Current Y                          | ear I              | End of Year                                  |
| sets                           | 20         | Total  | assets (Part X, line 16)                          |   |                   | [              |             | 60,635,83                                  | 6.                 | 66,524,108                                   |
| Net Assets or<br>Fund Balances | 21         |  | liabilities (Part X, line 26)                     |   |                   |                |             | 50,649,05                                  | 7.                 | 58,173,935                                   |
| Pun                            | 22         | Net as   | ssets or fund balances. Subtract line 21          | from line 20                                      |                   |                |             | 9,986,77                                   | 9.                 | 8,350,173                                    |
|                                | rt II      | Sig  | gnature Block                                     |   |                   | ·              |             |  |                    |  |
| Unc                            | ler per    | nalties o  | of perjury, I declare that I have examined the    | is return, including accompa                      | nying schedul     | es and statem  | ents, ar    | nd to the best of                          | my knowled         | dge and belief, it is                        |
| true                           | , corre    | ct, and  | complete. Declaration of preparer (other than     | n officer) is based on all inforn                 | nation of whic    | h preparer has | any kn      | owledge.                                   |                    |  |
|                                |            |  |   |   |                   |                |             |  |                    |  |
| Sig                            | n          |  | Signature of officer                              |   |                   |                |             | Date                                       |                    |  |
| Her                            | ·e         |  |   |   |                   |                |             |  |                    |  |
|                                |            |  | Type or print name and title                      |   |                   |                |             |  |                    |  |
|                                |            | Print/   | /Type preparer's name                             | Pre orer's signature                              |                   | Date           |             | Check                                      | if PTIN            |  |
| Paid                           | l          | PAU  | L HAMMERSCHMIDT                                   | TOATAMINA   | ANN               | 5/14/2         | 2021        | self-employe                               |                    | 384178                                       |
|                                | oarer      | _  | s name ▶ BDO USA, LLP                             | 1 cm / Tommyo                                     | er miles          | J. 1 1/2       |             |  | 13-5381            |  |
| Use                            | Only       |  | s name DDO OSA, ELLI s address > 100 PARK AVENUE, | NEW YORK NV 1                                     | 0017-50           | 0.1            |             |  | 212-885            |  |
| May                            | the I      |  | scuss this return with the preparer show          |   |                   |                |             | Phone no.                                  | X                  |  |
|                                |            |  | · · ·   | •   | /                 |                | • • • •     |  |                    | Yes No<br>Form <b>990</b> (2019)             |
| ror                            | rape       | rwork  | Reduction Act Notice, see the separat             | e instructions.                                   |                   |                |             |  |                    | roim <b>33U</b> (2019)                       |

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| Pa | art III   | Statement of Program Service Accomplishments Check if Schedule O contains a response or note to any line in this Part III | -<br>₹] |
|----|-----------|---|---------|
| 1  | Briefly d | escribe the organization's mission:   | _       |
| •  |           | CHMENT 1  |         |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
| 2  | Did the   | organization undertake any significant program services during the year which were not listed on the                      | _       |
| _  |           | rm 990 or 990-EZ?   | 0       |
|    |           | describe these new services on Schedule O.  | Ŭ       |
| 3  |           | organization cease conducting, or make significant changes in how it conducts, any program                                |         |
| J  |           | ?   | _       |
|    |           | describe these changes on Schedule O.   | ٠       |
| 4  |           | e the organization's program service accomplishments for each of its three largest program services, as measured          | bv      |
| -  |           | s. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to othe      |         |
|    |           | expenses, and revenue, if any, for each program service reported.   | -,      |
|    |           |   |         |
|    | (Code:    | ) (Expenses \$ 50,924,536. including grants of \$ 6,966,696. ) (Revenue \$ 56,130,199. )                                  | —       |
| тu | ` -       | CHMENT 2  |         |
|    | AIIA      | CHRENI Z  | —       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   | _       |
|    |           |   |         |
|    |           |   |         |
|    | <u> </u>  |   |         |
|    | (Code:    | ) (Expenses \$ 16,091,201. including grants of \$ 353,965. ) (Revenue \$ 16,622,444. )                                    |         |
|    |           | OPMENTAL DISABILITIES - OUR INTELLECTUAL/DEVELOPMENTAL  | _       |
|    |           | LITIES SERVICES INCLUDE COMMUNITY BASED PROGRAMS SUCH AS  |         |
|    |           | RESIDENCES AND SUPPORTED APARTMENTS IN THE COMMUNITY,   |         |
|    |           | CE/RECREATION SERVICES, SUPPORTIVE EMPLOYMENT, DAY  | _       |
|    |           | TATION SERVICES, AND MEDICAID SERVICE COORDINATION FOR BOTH   |         |
|    |           | REN AND ADULTS. OUR SUPPORTIVE CLINICAL PRACTICES ADDRESS THE   | _       |
|    |           | ATED EMOTIONAL, BEHAVIORAL AND PSYCHOLOGICAL  | _       |
|    |           | S/DISORDERS IN ORDER TO ASSIST OUR CONSUMERS TO LIVE MORE   |         |
|    | FULFII    | LING LIVES.   |         |
|    |           |   |         |
|    |           |   |         |
|    |           |   |         |
| 4с | (Code: _  | ) (Expenses \$14,914,949. including grants of \$538,935. ) (Revenue \$15,702,708. )                                       |         |
|    | SPECIA    | AL EDUCATION - OUR BIONDI SCHOOL IS A NON-PUBLIC SCHOOL   |         |
|    | PROVII    | DING 12- MONTH SPECIALIZED EDUCATIONAL SERVICES TO STUDENTS   |         |
|    | GRADES    | K TO 12 WITH LEARNING DISABILITIES AND SPECIAL NEEDS. THE   |         |
|    | ORGANI    | ZATION SERVED 942 CLIENTS DURING FISCAL YEAR 2020.  |         |
|    |           |   |         |
|    |           |   |         |
|    |           |   |         |
|    |           |   |         |
|    |           |   | _       |
|    |           |   |         |
|    |           |   | _       |
|    |           |   | _       |
| 4d | Other p   | rogram services (Describe on Schedule O.)   | —       |
| -  | (Expens   |   |         |
| 4  | <u> </u>  | ngram service expenses \ 107.525.067.   | _       |

**4e** Total program service exposes

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| Part | V Checklist of Required Schedules   |      |     |     |
|------|---|------|-----|-----|
|      |   |      | Yes | No  |
| 1    | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"           |      |     |     |
|      | complete Schedule A   | 1    | X   |     |
| 2    | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?                       | 2    | Х   |     |
| 3    | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to        |      |     |     |
|      | candidates for public office? If "Yes," complete Schedule C, Part I   | 3    |     | X   |
| 4    | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h)           |      |     |     |
|      | election in effect during the tax year? If "Yes," complete Schedule C, Part II  | 4    |     | X   |
| 5    | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,            |      |     |     |
|      | assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III          | 5    |     | X   |
| 6    | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors                 |      |     |     |
|      | have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If             |      |     |     |
|      | "Yes," complete Schedule D, Part I  | 6    |     | X   |
| 7    | Did the organization receive or hold a conservation easement, including easements to preserve open space,               |      |     |     |
|      | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II                    | 7    |     | Х   |
| 8    | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"     |      |     |     |
|      | complete Schedule D, Part III   | 8    |     | X   |
| 9    | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a         |      |     |     |
|      | custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or            |      |     |     |
|      | debt negotiation services? If "Yes," complete Schedule D, Part IV   | 9    |     | X   |
| 10   | Did the organization, directly or through a related organization, hold assets in donor-restricted endowments            |      |     |     |
|      | or in quasi endowments? If "Yes," complete Schedule D, Part V   | 10   | Х   |     |
| 11   | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI,            |      |     |     |
|      | VII, VIII, IX, or X as applicable.  |      |     |     |
| а    | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes,"                  |      |     |     |
|      | complete Schedule D, Part VI  | 11a  | X   |     |
| b    | Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more            |      |     |     |
|      | of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII                                | 11b  |     | Х   |
| С    | Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more             | l    |     | 3.5 |
|      | of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII                               | 11c  |     | X   |
| d    | Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets       |      |     | · v |
|      | reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.  | 11d  | Х   | X   |
|      | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X   | 11e  | Λ   |     |
| ī    | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses | 445  | Х   |     |
| 120  | the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X  | 11f  | 21  |     |
| ıza  | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete     | 120  |     | Х   |
| h    | Schedule D, Parts XI and XII  | 12a  |     | 21  |
| D    | "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional      | 12b  | X   |     |
| 13   | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E                       | 13   |     | Х   |
|      | Did the organization maintain an office, employees, or agents outside of the United States?                             | 14a  |     | X   |
|      | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking,                        | 1.44 |     |     |
| -    | fundraising, business, investment, and program service activities outside the United States, or aggregate               |      |     |     |
|      | foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV                          | 14b  |     | Х   |
| 15   | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or       |      |     |     |
|      | for any foreign organization? If "Yes," complete Schedule F, Parts II and IV  | 15   |     | Х   |
| 16   | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other              |      |     |     |
|      | assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV                               | 16   |     | Х   |
| 17   | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on          |      |     |     |
|      | Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)                          | 17   |     | Х   |
| 18   | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on             |      |     |     |
|      | Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II  | 18   | Х   |     |
| 19   | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a?            |      |     |     |
|      | If "Yes," complete Schedule G, Part III   | 19   |     | Х   |
| 20 a | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H                             | 20a  |     | Х   |
| b    | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?            | 20b  |     |     |
| 21   | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or             |      |     |     |
|      | domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II                       | 21   |     | X   |

| Par  | Checklist of Required Schedules (continued)  |         | V   | Na   |
|------|--|---------|-----|------|
|      | Pild   |         | Yes | No   |
| 22   | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on  |         | Х   |      |
| 00   | Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III  | 22      | Λ   |      |
| 23   | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the  |         |     |      |
|      | organization's current and former officers, directors, trustees, key employees, and highest compensated  |         | 37  |      |
|      | employees? If "Yes," complete Schedule J.  | 23      | X   |      |
| 24 a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than  |         |     |      |
|      | \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b  |         | 3.5 |      |
|      | through 24d and complete Schedule K. If "No," go to line 25a   |         | Х   |      |
|      | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?  | 24b     |     |      |
| С    | Did the organization maintain an escrow account other than a refunding escrow at any time during the year  |         |     | v    |
|      | to defease any tax-exempt bonds?   |         |     | X    |
|      | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?  | 24d     |     |      |
| 25 a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit   | 05-     |     | Х    |
|      | transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I  | 25a     |     |      |
| D    | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior   |         |     |      |
|      | year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ?   | 256     |     | Х    |
| 20   | If "Yes," complete Schedule L, Part I  | 25b     |     |      |
| 26   | Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current  |         |     |      |
|      | or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%  | 26      |     | Х    |
| 27   | controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part II</i>  | 26      |     |      |
| 27   | Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee |         |     |      |
|      | member, or to a 35% controlled entity (including an employee thereof) or family member of any of these   |         |     |      |
|      | persons? If "Yes," complete Schedule L, Part III   | 27      |     | Х    |
| 28   | Was the organization a party to a business transaction with one of the following parties (see Schedule L,  | 21      |     | - 11 |
| 20   | Part IV instructions, for applicable filing thresholds, conditions, and exceptions):   |         |     |      |
| •    | A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If   |         |     |      |
| а    | "Yes," complete Schedule L, Part IV  | 28a     |     | Х    |
| h    | A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV  | 28b     |     | X    |
|      | A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If  | 200     |     |      |
| ·    | "Yes," complete Schedule L, Part IV  | 28c     |     | Х    |
| 29   | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>  | 29      |     |      |
| 30   | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified   |         |     |      |
| •    | conservation contributions? If "Yes," complete Schedule M  | 30      |     | Х    |
| 31   | Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>  | 31      |     | X    |
| 32   | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If</i> "Yes,"   | -       |     |      |
| -    | complete Schedule N, Part II   | 32      |     | Х    |
| 33   | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations   |         |     |      |
|      | sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I.   | 33      | Х   |      |
| 34   | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III,   |         |     |      |
|      | or IV, and Part V, line 1  | 34      | Х   |      |
| 35 a | Did the organization have a controlled entity within the meaning of section 512(b)(13)?  | 35a     |     | X    |
| b    | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a  |         |     |      |
|      | controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2  | 35b     |     |      |
| 36   | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable   |         |     |      |
|      | related organization? If "Yes," complete Schedule R, Part V, line 2  | 36      |     | X    |
| 37   | Did the organization conduct more than 5% of its activities through an entity that is not a related organization   |         |     |      |
|      | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI   | 37      |     | X    |
| 38   | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and   |         |     |      |
|      | 19? <b>Note</b> : All Form 990 filers are required to complete Schedule O.   | 38      | X   |      |
| Part |  |         |     |      |
|      | Check if Schedule O contains a response or note to any line in this Part V   | <u></u> |     |      |
|      |  |         | Yes | No   |
|      | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable   | -       |     |      |
|      | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable  | -       |     |      |
| С    | Did the organization comply with backup withholding rules for reportable payments to vendors and   |         |     |      |
|      | reportable gaming (gambling) winnings to prize winners?  | 1c      | X   |      |

| Par      | t V Statements Regarding Other IRS Filings and Tax Compliance (continued)   |      |     |    |
|----------|---|------|-----|----|
|          |   |      | Yes | No |
| 2a       | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax   |      |     |    |
|          | Statements, filed for the calendar year ending with or within the year covered by this return. 2a 1,711   |      |     |    |
| b        | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?  | 2b   | Х   |    |
|          | <b>Note:</b> If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> (see instructions)   |      |     |    |
| 3a       | Did the organization have unrelated business gross income of \$1,000 or more during the year?   | 3a   |     | Х  |
|          | If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation on Schedule O</i>  | 3b   |     |    |
|          | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over,   |      |     |    |
| τu       | a financial account in a foreign country (such as a bank account, securities account, or other financial account)?  | 4a   |     | Х  |
| h        | If "Yes," enter the name of the foreign country   |      |     |    |
| b        | See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).   |      |     |    |
| 5.2      | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?   | 5a   |     | Х  |
|          | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?  | 5b   |     | Х  |
|          | If "Yes" to line 5a or 5b, did the organization file Form 8886-T?   | 5c   |     |    |
|          | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the  |      |     |    |
| va       | organization solicit any contributions that were not tax deductible as charitable contributions?  | 6a   |     | Х  |
| h        |   |      |     |    |
| D        | If "Yes," did the organization include with every solicitation an express statement that such contributions or  | 6b   |     |    |
| 7        | gifts were not tax deductible?  |      |     |    |
| 7        | Organizations that may receive deductible contributions under section 170(c).   |      |     |    |
| а        | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods   | 7a   | Х   |    |
| <b>L</b> | and services provided to the payor?   | 7b   | X   |    |
|          |   | 7.5  |     |    |
| С        | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was  | 7c   |     | Х  |
|          | required to file Form 8282?   | 70   |     |    |
|          | ,   | 7e   |     | Х  |
|          | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?   | 7f   |     | X  |
|          | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  | 7g   |     |    |
| _        | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?  If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?. | 7h   |     |    |
| 8        | Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the  |      |     |    |
| 0        | sponsoring organization have excess business holdings at any time during the year?  | 8    |     |    |
| 0        |   |      |     |    |
| 9        | Sponsoring organizations maintaining donor advised funds.  Did the sponsoring organization make any taxable distributions under section 4966?   | 9a   |     |    |
|          | Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?   | 9b   |     |    |
| 10       | Section 501(c)(7) organizations. Enter:   | 0.0  |     |    |
|          | Initiation fees and capital contributions included on Part VIII, line 12  |      |     |    |
| a<br>h   | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b   |      |     |    |
| 11       | Section 501(c)(12) organizations. Enter:  |      |     |    |
|          | Gross income from members or shareholders   |      |     |    |
|          | Gross income from other sources (Do not net amounts due or paid to other sources  |      |     |    |
| D        | against amounts due or received from them.)   |      |     |    |
| 122      | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?  | 12a  |     |    |
|          | If "Yes," enter the amount of tax-exempt interest received or accrued during the year   |      |     |    |
| 13       | Section 501(c)(29) qualified nonprofit health insurance issuers.  |      |     |    |
| -        | Is the organization licensed to issue qualified health plans in more than one state?  | 13a  |     |    |
| а        | Note: See the instructions for additional information the organization must report on Schedule O.   |      |     |    |
| h        | Enter the amount of reserves the organization is required to maintain by the states in which  |      |     |    |
| D        | the organization is licensed to issue qualified health plans  |      |     |    |
| _        | Enter the amount of reserves on hand  |      |     |    |
|          | Did the organization receive any payments for indoor tanning services during the tax year?  | 14a  |     | Х  |
|          |   | 14b  |     |    |
|          | If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation on Schedule O</i> Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or  | . 70 |     |    |
| 15       | excess parachute payment(s) during the year?  | 15   |     | Х  |
|          | If "Yes," see instructions and file Form 4720, Schedule N.  |      |     |    |
| 16       | Is the organization an educational institution subject to the section 4968 excise tax on net investment income?   | 16   |     | Х  |
| . 0      | If "Yes," complete Form 4720, Schedule O.   |      |     |    |
|          |   |      |     |    |

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Part VI

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

| Sect  | ion A. Governing Body and Management   |          | • • •  |          |
|-------|--|----------|--------|----------|
|       |  |          | Yes    | No       |
| 12    | Enter the number of voting members of the governing body at the end of the tax year   1a   20  |          |        |          |
| ıu    | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.  |          |        |          |
| b     | Enter the number of voting members included on line 1a, above, who are independent   |          |        |          |
| 2     | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with   |          |        |          |
|       | any other officer, director, trustee, or key employee?   | 2        |        | X        |
| 3     | Did the organization delegate control over management duties customarily performed by or under the direct  |          |        |          |
|       | supervision of officers, directors, trustees, or key employees to a management company or other person?  | 3        |        | X        |
| 4     | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?   | 4        |        | Х        |
| 5     | Did the organization become aware during the year of a significant diversion of the organization's assets?   | 5        |        | X        |
| 6     | Did the organization have members or stockholders?   | 6        |        | Х        |
| 7a    | Did the organization have members, stockholders, or other persons who had the power to elect or appoint  |          |        | 37       |
|       | one or more members of the governing body?   | 7a       |        | X        |
| b     | Are any governance decisions of the organization reserved to (or subject to approval by) members,  | l        |        | v        |
|       | stockholders, or persons other than the governing body?  | 7b       |        | X        |
| 8     | Did the organization contemporaneously document the meetings held or written actions undertaken during   |          |        |          |
|       | the year by the following:   | 0.0      | Х      |          |
| a     | The governing body?  | 8a<br>8b | X      | -        |
| b     | Each committee with authority to act on behalf of the governing body?  | 00       | 21     |          |
| 9     | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O   | 9        |        | Х        |
| Secti | ion B. Policies (This Section B requests information about policies not required by the Internal Revenue   |          | .)     |          |
|       |  |          | Yes    | No       |
| 10a   | Did the organization have local chapters, branches, or affiliates?   | 10a      |        | Х        |
|       | If "Yes," did the organization have written policies and procedures governing the activities of such chapters,   |          |        |          |
| -     | affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?  | 10b      |        |          |
| 11a   | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?  | 11a      | Х      |          |
| b     | Describe in Schedule O the process, if any, used by the organization to review this Form 990.  |          |        |          |
| 12a   | Did the organization have a written conflict of interest policy? If "No," go to line 13  | 12a      | Х      |          |
| b     | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give   |          |        |          |
|       | rise to conflicts?   | 12b      | X      |          |
| С     | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done   | 12c      | X      |          |
| 13    | Did the organization have a written whistleblower policy?  | 13       | Х      |          |
| 14    | Did the organization have a written document retention and destruction policy?   | 14       | Х      |          |
| 15    | Did the process for determining compensation of the following persons include a review and approval by   |          |        |          |
|       | independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?  |          |        |          |
| а     | The organization's CEO, Executive Director, or top management official   | 15a      | X      |          |
| b     | Other officers or key employees of the organization  | 15b      |        | Х        |
|       | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).  |          |        |          |
| 16a   | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement   |          |        | 37       |
|       | with a taxable entity during the year?   | 16a      |        | X        |
| b     | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the   |          |        |          |
|       | organization's exempt status with respect to such arrangements?  | 16b      |        | <u> </u> |
| Sect  | ion C. Disclosure  |          |        |          |
| 17    | List the states with which a copy of this Form 990 is required to be filed $ ightharpoonup$  |          |        |          |
| 18    | Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  Own website  Another's website  X Upon request  Other (explain on Schedule O) | Γ (Sec   | tion 5 | 601(c)   |
| 19    | Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict or   | of inte  | est p  | olicy,   |
|       | and financial statements available to the public during the tax year.  |          |        |          |
| 20    | State the name, address, and telephone number of the person who possesses the organization's books and record  | ds ▶     |        |          |

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# Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

#### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

\_\_\_ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A)<br>Name and title     | (B) Average hours per week  | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) |  |         |              |                              | an<br>tee) | (D)  Reportable compensation from the | (E) Reportable compensation from related | (F) Estimated amount of other compensation      |
|---------------------------|---|--|--|---------|--------------|------------------------------|------------|---------------------------------------|--|---|
|                           | (list any<br>hours for<br>related<br>organizations<br>below<br>dotted line) | ations ctor ctor wal trust   |  | Officer | Key employee | Highest compensated employee | Former     | organization<br>(W-2/1099-MISC)       | organizations<br>(W-2/1099-MISC)         | from the organization and related organizations |
| (1)ALAN E. MUCATEL        | 33.00   |  |  |         |              |                              |            |                                       |  |   |
| CHIEF EXECUTIVE OFFICER   | 2.00  |  |  | Х       |              |                              |            | 399,301.                              | 0.                                       | 26,383.   |
| (2)MARSHA AUSTIN          | 35.00   |  |  |         |              |                              |            |                                       |  |   |
| PSYCHIATRIST              | 0.  |  |  |         |              | Х                            |            | 239,982.                              | 0.                                       | 21,576.   |
| (3) ELLIOT HAGLER         | 33.00   |  |  |         |              |                              |            |                                       |  |   |
| CHIEF FINANCIAL OFFICER   | 2.00  |  |  | Х       |              |                              |            | 250,000.                              | 0.                                       | 7,909.  |
| (4) ELISE S. ZEALAND      | 33.00   |  |  |         |              |                              |            |                                       |  |   |
| GENERAL COUNSEL           | 2.00  |  |  |         | Х            |                              |            | 202,000.                              | 0.                                       | 21,336.   |
| (5) BELINDA M. CONWAY     | 35.00   |  |  |         |              |                              |            |                                       |  |   |
| EXECUTIVE VICE PRESIDENT  | 0.  |  |  |         | Х            |                              |            | 195,855.                              | 0.                                       | 22,448.   |
| (6) SHARRON MADDEN        | 25.00   |  |  |         |              |                              |            |                                       |  |   |
| EXECUTIVE VICE PRESIDENT  | 10.00   |  |  |         | Х            |                              |            | 191,539.                              | 0.                                       | 16,284.   |
| (7) CARLTON MITCHELL      | 34.00   |  |  |         |              |                              |            |                                       |  |   |
| SENIOR VICE PRESIDENT     | 1.00  |  |  |         |              | X                            |            | 184,577.                              | 0.                                       | 14,187  |
| (8) YEZID G. ACOSTA       | 34.00   |  |  |         |              |                              |            |                                       |  |   |
| CHIEF INFORMATION OFFICER | 1.00  |  |  |         |              | X                            |            | 170,000.                              | 0.                                       | 24,843  |
| (9) SUSAN SAMPOGNA        | 34.00   |  |  |         |              |                              |            |                                       |  |   |
| EXECUTIVE VICE PRESIDENT  | 1.00  |  |  |         | Х            |                              |            | 167,196.                              | 0.                                       | 21,849  |
| (10) JACQUELINE CALLEJAS  | 35.00   |  |  |         |              |                              |            |                                       |  |   |
| PSYCHIATRIST              | 0.  |  |  |         |              | X                            |            | 174,993.                              | 0.                                       | 14,037  |
| (11) PAUL J. MARTIN       | 34.00   |  |  |         |              |                              |            |                                       |  |   |
| VICE PRESIDENT            | 1.00  |  |  |         |              | X                            |            | 168,940.                              | 0.                                       | 16,849  |
| (12) ANGELA RUTH WHITE    | 35.00   |  |  |         |              |                              |            |                                       |  |   |
| EXECUTIVE VICE PRESIDENT  | 0.  |  |  |         | Х            |                              |            | 172,308.                              | 0.                                       | 4,443   |
| (13) MATT DEL PERCIO      | 1.00  |  |  |         |              |                              |            |                                       |  |   |
| PRESIDENT                 | 2.00  | X  |  | Х       |              |                              |            | 0.                                    | 0.                                       | 0   |
| (14) MARGERY E. AMES      | 1.00  |  |  |         |              |                              |            |                                       |  |   |
| VICE PRESIDENT            | 2.00  | X  |  | Х       |              |                              |            | 0.                                    | 0.                                       | 0   |

| Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued) |   |      |      |                      |       |  |    |   |  |                              |   |                    |
|---|---|------|------|----------------------|-------|--|----|---|--|------------------------------|---|--------------------|
| (A)<br>Name and title   | (B) Average hours per week (list any hours for related organizations below dotted line) | box, | unle | Pos<br>heck<br>ss pe | erson | e than o is both tor/trustree employee | an | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | an<br>com<br>fr<br>org<br>an | (F)<br>stimated<br>nount o<br>other<br>pensati<br>om the<br>anization<br>d related<br>anization | f<br>on<br>on<br>d |
| 15) CAROL CHEN  | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| TREASURER   | 2.00  | Х    |      | Х                    |       |  |    | 0   | 0.   |                              |   | 0                  |
| 16) G. CROSSAN SEYBOLT, JR.   | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| SECRETARY   | 2.00  | Х    |      | Х                    |       |  |    | 0   | 0.   |                              |   | 0                  |
| 17) CHRISTOPHER ACKERMAN  | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 18) SUSAN S. BENEDICT   | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 19) JUDITH BENITEZ  | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 20) MARK BROUDE   | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 21) TONY CIRINCIONE   | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 22) JOYCE R. COPPIN-MONDESIRE   | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 23) THOMAS GALLAGHER  | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 24) JOSE M. JARA  | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 25) BRIGETTE MCLEOD-WILLIAMS  | 1.00  |      |      |                      |       |  |    |   |  |                              |   |                    |
| DIRECTOR  | 2.00  | Х    |      |                      |       |  |    | 0   | 0.   |                              |   | 0                  |
| 1b Sub-total  |   |      |      |                      |       |  | _  | 2,516,691.  | 0.   |                              | 212,  | 144.               |
| c Total from continuation sheets to Part VII, S   | ection A  |      | • •  | • •                  | • •   |  |    | 0.  | 0.   |                              |   | 0.                 |
| d Total (add lines 1b and 1c)   | -   |      |      | • •                  | • •   |  |    | 2,516,691.  | 0.   |                              | 212,  | <del></del>        |
| 2 Total number of individuals (including but not  |   |      |      |                      |       | e) who                                 | re | ceived more than  | \$100,000 of   |                              |   |                    |
| reportable compensation from the organization   |   | 4    |      | uu                   |       | o, wc                                  |    |   | Ψ100,000 0.  |                              |   |                    |
|   | <u> </u>  |      |      |                      |       |  |    |   |  |                              | Yes   | No                 |
| 3 Did the organization list any former office employee on line 1a? If "Yes," complete Schedu                    |   |      |      |                      |       |  |    |   |  | 3                            | 103   | Х                  |
| <b>4</b> For any individual listed on line 1a, is the organization and related organizations graindividual.     | eater than  | \$15 | 50,0 | 00?                  | ? It  | "Yes                                   | ," | complete Schedu   | le J for such  | 4                            | X   |                    |
|   |   |      |      |                      |       |  |    |   |  | _                            | _   |                    |
| 5 Did any person listed on line 1a receive or<br>for services rendered to the organization? If "Yo              |   |      |      |                      |       |  |    |   |  | 5                            |   | Х                  |

#### **Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C)<br>Compensation |
|-------------------------------|-----------------------------|---------------------|
| ATTACHMENT 3                  |                             |                     |
|                               |                             |                     |
|                               |                             |                     |
|                               |                             |                     |
|                               |                             |                     |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 12

| Part VII Section A. Officers, Directors, True  | ustees, Ke                  | y En   | plc           | ye      | es,          | and F                        | ligl     | hest Compensat    | ed Employees (d                          | continu | ed)              |    |
|--|-----------------------------|--|---------------|---------|--------------|------------------------------|----------|-------------------|--|---------|------------------|----|
| (A)  | (B)                         |  |               | (0      | C)           |                              |          | (D)               | (E)                                      |         | (F)              |    |
| Name and title   | Average                     | Position Reportable Reportable (do not check more than one compensation compensation |               |         |              |                              |          |                   |  |         | stimated         |    |
|  | hours per<br>week (list any | ,  |               |         |              | e than one is both an        |          | compensation      | compensation from                        | ar      | nount o<br>other | i  |
|  | hours for                   | office   |               |         |              | tor/trust                    |          | from<br>the       | related organizations                    | com     | pensati          | on |
|  | related                     | Individual trustee or director   | Ins           | 皇       | Fe.          | em                           | Fo       | organization      | (W-2/1099-MISC)                          |         | om the           |    |
|  | organizations               | dire   | Institutional | Officer | y er         | ples                         | Former   | (W-2/1099-MISC)   | (** =, ********************************* |         | anizatio         |    |
|  | below dotted                | ual  | g             | ,       | nplc         | ee/ee                        | -        |                   |  |         | d relate         |    |
|  | line)                       | trus   | a t           |         | Key employee | dmc                          |          |                   |  | org     | anizatio         | ıs |
|  |                             | tee  | trustee       |         |              | ens                          |          |                   |  |         |                  |    |
|  |                             |  | ď             |         |              | Highest compensated employee |          |                   |  |         |                  |    |
| 26) KAREN MYRIE, M.D.  | 1.00                        |  |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | Х  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
| 27) ELLEN POLANSKY   | 1.00                        |  |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | Х  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
| 28) BOB SCHANZ   | 1.00                        |  |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | Х  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
| 29) DAVID THEOBALD   | 1.00                        |  |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | Х  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
| 30) DUNCAN JAMES TURNBULL  | 1.00                        |  |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | X  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
| 31) KIM WALKER   | 1.00                        |  |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | X  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
| 32) ALLEN WAXMAN   | 1.00                        | 21   |               |         |              |                              |          |                   |  |         |                  |    |
| DIRECTOR   | 2.00                        | X  |               |         |              |                              |          | 0                 | 0.                                       |         |                  |    |
|  | 2.00                        | 21   |               |         |              |                              |          | 0                 | ·  |         |                  |    |
|  |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
|  |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
|  |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
|  | <del> </del>                |  |               |         |              |                              |          |                   |  |         |                  |    |
|  |                             |  |               |         |              |                              | <u> </u> | 0.                | 0.                                       |         |                  | 0  |
| 1b Sub-total   |                             |  |               |         |              |                              | <b>•</b> | 0.                | 0.                                       |         |                  |    |
| c Total from continuation sheets to Part VII, S  |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
| d Total (add lines 1b and 1c)  |                             |  |               |         |              |                              | <u> </u> | <u> </u>          | •  |         |                  |    |
| 2 Total number of individuals (including but not reportable compensation from the organizatio                                  |                             | hose<br>47   |               | d al    | bov          | e) who                       | o re     | eceived more than | \$100,000 of                             |         |                  |    |
|  |                             |  | ,             |         |              |                              |          |                   |  |         | Yes              | No |
| 3 Did the organization list any former office  | ear directo                 | ır or  | tri           | icto    |              | kov c                        | mn       | Novee or highes   | t componented                            |         | 100              |    |
| employee on line 1a? If "Yes," complete Sched  |                             |  |               |         |              |                              |          |                   |  | 3       |                  | Х  |
|  |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
| 4 For any individual listed on line 1a, is the   |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
| organization and related organizations gr  |                             |  |               |         |              |                              |          |                   |  | 4       | Х                |    |
| individual   |                             |  |               |         |              |                              |          |                   |  | 4       | 21               |    |
| 5 Did any person listed on line 1a receive or  |                             |  |               |         |              |                              |          |                   |  | _       |                  | Х  |
| for services rendered to the organization? If "Y Section B. Independent Contractors  | es, comple                  | ie SCI   | ieal          | iie J   | ııor         | sucn                         | per      | SUII              |  | 5       |                  |    |
| - ·  |                             |  | ا ا م         |         | ~~~          | 4 m o = 4 -                  |          |                   | than #100 000                            |         |                  |    |
| <ol> <li>Complete this table for your five highest com-<br/>compensation from the organization. Report of<br/>year.</li> </ol> |                             |  |               |         |              |                              |          |                   |  |         |                  |    |
|  |                             |  |               |         |              |                              |          |                   |  |         |                  |    |

| (A) Name and business address | (B) Description of services | <b>(C)</b><br>Compensation |
|-------------------------------|-----------------------------|----------------------------|
|                               |                             |                            |
|                               |                             |                            |
|                               |                             |                            |
|                               |                             |                            |
|                               |                             |                            |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

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# Part VIII Statement of Revenue

| Par  | t VIII |  |                    | alla a la dela Dago | /III                                   |                                      |   |
|--|--------|--|--------------------|---------------------|--|--------------------------------------|---|
|  |        | Check if Schedule O contains a respor                | ise or note to any | (A) Total revenue   | (B) Related or exempt function revenue | (C)<br>Unrelated<br>business revenue | (D)<br>Revenue excluded<br>from tax under<br>sections 512-514 |
| ts   | 1a     | Federated campaigns 1a                               |                    |                     |  |                                      |   |
| ran  | b      | Membership dues 1b                                   |                    |                     |  |                                      |   |
| ρ,<br>F, G   | С      | Fundraising events 1c                                | 176,919.           |                     |  |                                      |   |
| Contributions, Gifts, Grants and Other Similar Amounts | d      | Related organizations 1d                             | 75,000.            |                     |  |                                      |   |
| a,e  | е      | Government grants (contributions) 1e                 | 299,709.           |                     |  |                                      |   |
| Sir  | f      | All other contributions, gifts, grants,              |                    |                     |  |                                      |   |
| uti<br>Jer   |        | and similar amounts not included above . 1f          | 1,157,232.         |                     |  |                                      |   |
| 들  | g      | Noncash contributions included in                    |                    |                     |  |                                      |   |
| g g  |        | lines 1a-1f 1g                                       | \$                 |                     |  |                                      |   |
| 9 C  | h      | Total. Add lines 1a-1f                               | ▶                  | 1,708,860.          |  |                                      |   |
|  |        |  | Business Code      |                     |  |                                      |   |
| /ice   | 2a     | CHILD WELFARE  | 624110             | 56,130,199.         | 56,130,199.                            |                                      |   |
| Program Service<br>Revenue                             | b      | DEVELOPMENTAL DISABILITIES                           | 624110             | 16,622,444.         | 16,622,444.                            |                                      |   |
| n S  | С      | SPECIAL EDUCATION                                    | 624110             | 15,702,708.         | 15,702,708.                            |                                      |   |
| Zev  | d      | JUVENILE JUSTICE                                     | 624110             | 14,689,940.         | 14,689,940.                            |                                      |   |
| S.   | е      | EARLY CHILDHOOD                                      | 624110             | 11,581,118.         | 11,581,118.                            |                                      |   |
|  | f      | All other program service revenue                    |                    | 865,043.            | 865,043.                               |                                      |   |
|  | g      | Total. Add lines 2a-2f                               |                    | 115,591,452.        |  |                                      |   |
|  | 3      | Investment income (including dividends,              |                    | 0.70 000            |  |                                      | 0.50  |
|  |        | other similar amounts)                               |                    | 272,933.            |  |                                      | 272,933.  |
|  | 4      | Income from investment of tax-exempt bond            | · ·                | 0.                  |  |                                      |   |
|  | 5      | Royalties  | (ii) Personal      | 0.                  |  |                                      |   |
|  | ٥-     |  | (ii) i diddidi     |                     |  |                                      |   |
|  | 6a     | Gross rents 6a                                       |                    |                     |  |                                      |   |
|  | b      | Less: rental expenses 6b  Rental income or (loss) 6c |                    |                     |  |                                      |   |
|  | c<br>d | Net rental income or (loss)                          |                    | 0.                  |  |                                      |   |
|  | 7a     | Gross amount from (i) Securities                     | (ii) Other         | 0.                  |  |                                      |   |
|  | , u    | sales of assets                                      | ( ) = 1            |                     |  |                                      |   |
|  |        | other than inventory <b>7a</b> 3,568,763.            |                    |                     |  |                                      |   |
| ø  | b      | Less: cost or other basis                            |                    |                     |  |                                      |   |
| venue  | -      | and sales expenses <b>7b</b> 3,417,436.              |                    |                     |  |                                      |   |
| a l  | С      | Gain or (loss) 7c 151,327.                           |                    |                     |  |                                      |   |
| Α.   | d      | Net gain or (loss)                                   |                    | 151,327.            |  |                                      | 151,327.  |
| Other R  | 8a     | Gross income from fundraising                        |                    |                     |  |                                      |   |
| ŏ  |        | events (not including \$ 176,919.                    |                    |                     |  |                                      |   |
|  |        | of contributions reported on line                    |                    |                     |  |                                      |   |
|  |        | 1c). See Part IV, line 18 8a                         | 204,000.           |                     |  |                                      |   |
|  | b      | Less: direct expenses                                | 89,936.            |                     |  |                                      |   |
|  | С      | Net income or (loss) from fundraising events         | ▶                  | 114,064.            |  |                                      | 114,064.  |
|  | 9a     | Gross income from gaming                             |                    |                     |  |                                      |   |
|  |        | activities. See Part IV, line 19 9a                  | 0.                 |                     |  |                                      |   |
|  | b      | Less: direct expenses 9b                             | 0.                 |                     |  |                                      |   |
|  | С      | Net income or (loss) from gaming activities          | ▶ │                | 0.                  |  |                                      |   |
|  | 10a    | Gross sales of inventory, less                       |                    |                     |  |                                      |   |
|  |        | returns and allowances                               | 0.                 |                     |  |                                      |   |
|  | b      | Less: cost of goods sold 10b                         | 0.                 |                     |  |                                      |   |
|  | С      | Net income or (loss) from sales of inventory.        |                    | 0.                  |  |                                      |   |
| sn   |        |  | Business Code      |                     |  |                                      |   |
| ne ne  | 11a    | OTHER INCOME   | 900099             | 1,209,778.          |  |                                      | 1,209,778.  |
| llar<br>en   | b      |  |                    |                     |  |                                      | -   |
| Miscellaneous<br>Revenue                               | С      |  |                    |                     |  |                                      | -   |
| Σ.<br>Zi   | d      | All other revenue                                    |                    |                     |  |                                      |   |
|  | e      |  |                    | 1,209,778.          | 115 502 455                            |                                      | 1 840 505   |
| ISA  | 12     | Total revenue. See instructions                      |                    | 119,048,414.        | 115,591,452.                           |                                      | 1,748,102.  |

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# Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

| 360      | Check if Schedule O contains a response or note to any line in this Part IX   |                       |                                     |                                     |                                |  |  |
|----------|---|-----------------------|-------------------------------------|-------------------------------------|--------------------------------|--|--|
|          |   |                       |                                     |                                     |                                |  |  |
|          | not include amounts reported on lines 6b, 7b, 9b, and 10b of Part VIII.   | (A)<br>Total expenses | <b>(B)</b> Program service expenses | (C) Management and general expenses | (D)<br>Fundraising<br>expenses |  |  |
| 1        | Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21  | 0.                    |                                     |                                     |                                |  |  |
| 2        | Grants and other assistance to domestic individuals. See Part IV, line 22   | 8,718,145.            | 8,718,145.                          |                                     |                                |  |  |
| 3        | Grants and other assistance to foreign  |                       |                                     |                                     |                                |  |  |
|          | organizations, foreign governments, and foreign   | 0                     |                                     |                                     |                                |  |  |
|          | individuals. See Part IV, lines 15 and 16   | 0.                    |                                     |                                     |                                |  |  |
| 4        | Benefits paid to or for members   | 0.                    |                                     |                                     |                                |  |  |
| 5        | Compensation of current officers, directors, trustees, and key employees  | 1,769,313.            | 477,390.                            | 1,291,923.                          |                                |  |  |
| 6        | Compensation not included above to disqualified   |                       |                                     |                                     |                                |  |  |
|          | persons (as defined under section 4958(f)(1)) and   | 0.                    |                                     |                                     |                                |  |  |
| 7        | persons described in section 4958(c)(3)(B) Other salaries and wages   | 64,438,520.           | 58,359,569.                         | 5,662,802.                          | 416,149.                       |  |  |
|          |   | 01/130/3201           | 30/337/307.                         | 3700270021                          | 110/110.                       |  |  |
| ŏ        | Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)  | 1,522,512.            | 1,381,164.                          | 131,478.                            | 9,870.                         |  |  |
| ۵        | Other employee benefits   | 8,692,303.            | 7,774,833.                          | 862,215.                            | 55,255.                        |  |  |
| 10       | Payroll taxes   | 7,814,972.            | 6,947,440.                          | 818,309.                            | 49,223.                        |  |  |
|          | Fees for services (nonemployees):   |                       |                                     |                                     |                                |  |  |
|          | Management  | 0.                    |                                     |                                     |                                |  |  |
|          | Legal   | 453,404.              | 374,768.                            | 78,636.                             |                                |  |  |
|          | Accounting  | 0.                    |                                     |                                     |                                |  |  |
| d        | Lobbying  | 0.                    |                                     |                                     |                                |  |  |
|          | Professional fundraising services. See Part IV, line 17.  | 0.                    |                                     |                                     |                                |  |  |
| 1        | f Investment management fees  | 0.                    |                                     |                                     |                                |  |  |
| g        | Other. (If line 11g amount exceeds 10% of line 25, column   | 4,556,963.            | 3,470,305.                          | 1,050,775.                          | 35,883.                        |  |  |
| 40       | (A) amount, list line 11g expenses on Schedule O.)  | 4,330,303.            | 3,470,303.                          | 1,030,773.                          | 33,003.                        |  |  |
|          | Advertising and promotion   | 4,632,536.            | 3,566,559.                          | 913,749.                            | 152,228.                       |  |  |
| 13<br>14 | Office expenses   | 0.                    |                                     | 7 - 2 7 7 - 2 7 7                   |                                |  |  |
| 15       | Royalties   | 0.                    |                                     |                                     |                                |  |  |
| 16       | Occupancy   | 9,509,471.            | 8,408,882.                          | 1,080,582.                          | 20,007.                        |  |  |
| 17       | Travel  | 1,572,399.            | 1,467,323.                          | 104,149.                            | 927.                           |  |  |
| 18       | Payments of travel or entertainment expenses  |                       |                                     |                                     |                                |  |  |
|          | for any federal, state, or local public officials   | 0.                    |                                     |                                     |                                |  |  |
| 19       | Conferences, conventions, and meetings  | 764,528.              | 658,031.                            | 99,872.                             | 6,625.                         |  |  |
| 20       | Interest  | 249,770.              |                                     | 249,770.                            |                                |  |  |
| 21       | Payments to affiliates  | 0.                    | 2 205 217                           | 695,304.                            |                                |  |  |
| 22       | Depreciation, depletion, and amortization   | 4,020,621.            | 3,325,317.<br>1,147,276.            | 595,304.                            | 4,836.                         |  |  |
| 23       | Insurance   | 1,747,203.            | 1,147,270.                          | 393,171.                            | 4,030.                         |  |  |
| 24       | Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If   |                       |                                     |                                     |                                |  |  |
|          | line 24e amount exceeds 10% of line 25, column  |                       |                                     |                                     |                                |  |  |
|          | (A) amount, list line 24e expenses on Schedule O.)  |                       |                                     |                                     |                                |  |  |
| а        | EQUIPMENT REPAIRS/MAINT.  | 1,193,472.            | 938,653.                            | 250,035.                            | 4,784.                         |  |  |
| b        | DUES, LICENSES, PERMITS   | 259,256.              | 113,984.                            | 142,727.                            | 2,545.                         |  |  |
| c        | MISCELLANEOUS EXPENSES  | 763,029.              | 395,428.                            | 360,101.                            | 7,500.                         |  |  |
| d        | ·   |                       |                                     |                                     |                                |  |  |
| е        | All other expenses  |                       |                                     |                                     |                                |  |  |
| _        | Total functional expenses. Add lines 1 through 24e  | 122,678,497.          | 107,525,067.                        | 14,387,598.                         | 765,832.                       |  |  |
| 26       | Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here   if following SOP 98-2 (ASC 958-720) | 0.                    |                                     |                                     |                                |  |  |
|          |   | 0.                    |                                     |                                     | Form <b>990</b> (2010)         |  |  |

# Part X Balance Sheet

|               | II L A | Check if Schedule O contains a response or note to any line in this Pa                        | art X                    | <u></u> |                        |
|---------------|--------|---|--------------------------|---------|------------------------|
|               |        |   | (A)<br>Beginning of year |         | (B)<br>End of year     |
|               | 1      | Cash - non-interest-bearing   | 5,346,059.               | 1       | 3,616,781.             |
|               | 2      | Savings and temporary cash investments  | 2,958,377.               | 2       | 2,106,323.             |
|               | 3      | Pledges and grants receivable, net  | 0.                       | 3       | 0.                     |
|               | 4      | Accounts receivable, net  | 14,473,911.              | 4       | 17,411,034.            |
|               | 5      | Loans and other receivables from any current or former officer, director,                     |                          |         |                        |
|               |        | trustee, key employee, creator or founder, substantial contributor, or 35%                    |                          |         |                        |
|               |        | controlled entity or family member of any of these persons                                    | 0.                       | 5       | 0.                     |
|               | 6      | Loans and other receivables from other disqualified persons (as defined                       |                          |         |                        |
|               |        | under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)                     | 0.                       | 6       | 0.                     |
| ts            | 7      | Notes and loans receivable, net   | 0.                       | 7       | 0.                     |
| Assets        | 8      | Inventories for sale or use   | 0.                       | 8       | 0.                     |
| ğ             | 9      | Prepaid expenses and deferred charges   | 982,155.                 | 9       | 2,475,203.             |
|               | 10 a   | Land, buildings, and equipment: cost or other   |                          |         |                        |
|               |        | basis. Complete Part VI of Schedule D 10a 75,287,702.   |                          |         |                        |
|               | b      | Less: accumulated depreciation  | 28,058,543.              | 10c     | 25,931,523.            |
|               | 11     | Investments - publicly traded securities  | 7,270,019.               | 11      | 12,481,434.            |
|               | 12     | Investments - other securities. See Part IV, line 11  | 0.                       | 12      | 0.                     |
|               | 13     | Investments - program-related. See Part IV, line 11.  | 0.                       | 13      | 0.                     |
|               | 14     | Intangible assets   | 0.                       | 14      | 0.                     |
|               | 15     | Other assets. See Part IV, line 11  | 1,546,772.               | 15      | 2,501,810.             |
|               | 16     | Total assets. Add lines 1 through 15 (must equal line 33)                                     | 60,635,836.              | 16      | 66,524,108.            |
|               | 17     | Accounts payable and accrued expenses   | 20,500,188.              | 17      | 21,302,550.            |
|               | 18     | Grants payable  | 0.                       | 18      | 0.                     |
|               | 19     | Deferred revenue  | 332,520.                 | 19      | 0.                     |
|               | 20     | Tax-exempt bond liabilities   | 16,442,309.              | 20      | 14,827,492.            |
|               | 21     | Escrow or custodial account liability. Complete Part IV of Schedule D                         | 0.                       | 21      | 0.                     |
| Ş             | 22     | Loans and other payables to any current or former officer, director,                          |                          |         |                        |
| Liabilities   |        | trustee, key employee, creator or founder, substantial contributor, or 35%                    |                          |         |                        |
| abil          |        | controlled entity or family member of any of these persons                                    | 0.                       | 22      | 0.                     |
| Ë             | 23     | Secured mortgages and notes payable to unrelated third parties                                | 6,026,430.               | 23      | 11,297,247.            |
|               | 24     | Unsecured notes and loans payable to unrelated third parties                                  | 1,026,164.               | 24      | 958,976.               |
|               | 25     | Other liabilities (including federal income tax, payables to related third                    |                          |         |                        |
|               |        | parties, and other liabilities not included on lines 17-24). Complete Part X                  |                          |         |                        |
|               |        | of Schedule D   | 6,321,446.               | 25      | 9,787,670.             |
|               | 26     | Total liabilities. Add lines 17 through 25  | 50,649,057.              | 26      | 58,173,935.            |
| seo           |        | Organizations that follow FASB ASC 958, check here ► X and complete lines 27, 28, 32, and 33. |                          |         |                        |
| lan           | 27     | Net assets without donor restrictions   | 7,049,951.               | 27      | 5,511,007.             |
| B             | 28     | Net assets with donor restrictions.   | 2,936,828.               | 28      | 2,839,166.             |
| Fund Balances |        | Organizations that do not follow FASB ASC 958, check here ▶ and complete lines 29 through 33. |                          | -       |                        |
| ō             | 29     | Capital stock or trust principal, or current funds  |                          | 29      |                        |
| ets           | 30     | Paid-in or capital surplus, or land, building, or equipment fund.                             |                          | 30      |                        |
| Assets        | 31     | Retained earnings, endowment, accumulated income, or other funds.                             |                          | 31      |                        |
| ×, A          | 32     | Total net assets or fund balances   | 9,986,779.               | 32      | 8,350,173.             |
| Net           | 33     | Total liabilities and net assets/fund balances  | 60,635,836.              | 33      | 66,524,108.            |
|               |        | Total nashing and not according salances,   | 00,000,000.              | JJ      | Form <b>990</b> (2019) |

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|      | (2013)  |        |       |     | . u  | gc • = |
|------|---|--------|-------|-----|------|--------|
| Part |   |        |       |     |      |        |
|      | Check if Schedule O contains a response or note to any line in this Part XI                             |        |       |     |      | _ X    |
| 1    | Total revenue (must equal Part VIII, column (A), line 12)   | 1      |       |     | 48,4 |        |
| 2    | Total expenses (must equal Part IX, column (A), line 25)  | 2      |       |     | 78,4 |        |
| 3    | Revenue less expenses. Subtract line 2 from line 1  | 3      | -     |     | 30,0 |        |
| 4    | Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))               | 4      |       |     | 86,7 |        |
| 5    | Net unrealized gains (losses) on investments  | 5      |       | 1   | 60,7 | 757.   |
| 6    | Donated services and use of facilities  | 6      |       |     |      | 0.     |
| 7    | Investment expenses   | 7      |       |     |      | 0.     |
| 8    | Prior period adjustments  | 8      |       |     |      | 0.     |
| 9    | Other changes in net assets or fund balances (explain on Schedule O)                                    | 9      |       | 1,8 | 32,7 | 720.   |
| 10   | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line          |        |       |     |      |        |
|      | 32, column (B))   | 10     |       | 8,3 | 50,1 | _73.   |
| Part |   |        |       |     |      |        |
|      | Check if Schedule O contains a response or note to any line in this Part XII                            |        |       |     |      |        |
|      |   |        |       |     | Yes  | No     |
| 1    | Accounting method used to prepare the Form 990: Cash X Accrual Other                                    |        |       |     |      |        |
|      | If the organization changed its method of accounting from a prior year or checked "Other," e            | xplain | in    |     |      |        |
|      | Schedule O.   |        |       |     |      |        |
| 2a   | Were the organization's financial statements compiled or reviewed by an independent accountant?.        |        |       | 2a  |      | X      |
|      | If "Yes," check a box below to indicate whether the financial statements for the year were cor          | npiled | or    |     |      |        |
|      | reviewed on a separate basis, consolidated basis, or both:  |        |       |     |      |        |
|      | Separate basis Consolidated basis Both consolidated and separate basis                                  |        |       |     |      |        |
| b    | Were the organization's financial statements audited by an independent accountant?                      |        |       | 2b  | X    |        |
|      | If "Yes," check a box below to indicate whether the financial statements for the year were audi         | ted o  | n a 📗 |     |      |        |
|      | separate basis, consolidated basis, or both:  |        |       |     |      |        |
|      | Separate basis X Consolidated basis Both consolidated and separate basis                                |        |       |     |      |        |
| С    | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for over  | ersigh | t of  |     |      |        |
|      | the audit, review, or compilation of its financial statements and selection of an independent accounta  | int?.  |       | 2c  | Х    |        |
|      | If the organization changed either its oversight process or selection process during the tax year, e    | xplain | on    |     |      |        |
|      | Schedule O.   |        |       |     |      |        |
| 3a   | As a result of a federal award, was the organization required to undergo an audit or audits as set fo   | rth in | the   |     |      |        |
|      | Single Audit Act and OMB Circular A-133?  |        |       | 3a  | Х    |        |
| b    | If "Yes," did the organization undergo the required audit or audits? If the organization did not und    | lergo  | the   |     | Х    |        |
|      | required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits |        |       |     |      |        |

## **SCHEDULE A** (Form 990 or 990-EZ)

# **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust. ► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

| RIS      | SING   | GROUND, INC.  |                       |   |                   |                              | 13-18604                            | 51                                |
|----------|--------|---|-----------------------|---|-------------------|------------------------------|-------------------------------------|-----------------------------------|
| Pai      | 't l   | Reason for Public Cha   | arity Status (All o   | organizations must o                                | omplet            | e this pa                    | art.) See instructions              |                                   |
| The      | orga   | nization is not a private for   | undation because it   | is: (For lines 1 through                            | gh 12, ch         | eck only                     | one box.)                           |                                   |
| 1        | ΓŤ.    | A church, convention of ch  | urches, or associa    | tion of churches desc                               | ribed in <b>s</b> | ection 1                     | 70(b)(1)(A)(i).                     |                                   |
| 2        | П.     | A school described in sect  | ion 170(b)(1)(A)(ii)  | . (Attach Schedule E                                | (Form 99          | 90 or 990                    | )-EZ).)                             |                                   |
| 3        |        | A hospital or a cooperative   |                       | ·   | -                 |                              |                                     |                                   |
| 4        | П.     | A medical research organi   | ization operated in   | conjunction with a hos                              | spital de         | scribed in                   | section 170(b)(1)(A)                | (iii). Enter the                  |
|          |        | hospital's name, city, and s  | · ·                   | •   | •                 |                              | ( // // /                           | ` ,                               |
| 5        |        | An organization operated  |                       | a college or universit                              | y owne            | d or ope                     | rated by a governme                 | ntal unit described in            |
|          |        | section 170(b)(1)(A)(iv).   |                       | J   | •                 | •                            | , 0                                 |                                   |
| 6        |        | A federal, state, or local g  |                       | rnmental unit describe                              | d in <b>sect</b>  | ion 170(                     | b)(1)(A)(v).                        |                                   |
| 7        | П.     | An organization that norm   | nally receives a sub  | stantial part of its su                             | pport fr          | om a go                      | vernmental unit or fro              | om the general public             |
|          |        | described in section 170(b  | =                     | •   |                   | Ū                            |                                     |                                   |
| 8        |        | A community trust describ   |                       | -   | Part II.)         |                              |                                     |                                   |
| 9        |        | An agricultural research or   |                       |   |                   |                              | I in conjunction with a             | land-grant college                |
|          | _      | or university or a non-land   | -                     |   |                   | -                            |                                     |                                   |
|          |        | university:   |                       | ,   | ,                 |                              | , ,,                                | 3                                 |
| 10       |        | An organization that norma  | ally receives: (1) m  | ore than 331/3 % of its                             | support           | from co                      | ntributions, membersh               | nip fees, and gross               |
|          |        | receipts from activities rela   | ated to its exempt f  | functions - subject to                              | certain e         | exception                    | s, and (2) no more tha              | n 331/3% of its                   |
|          |        | support from gross investr<br>acquired by the organization  |                       |   |                   |                              |                                     | businesses                        |
| 11       |        | An organization organized   | •                     |   | . , . , .         | •                            | ,                                   |                                   |
| 12       |        | An organization organized   |                       | •   | •                 |                              |                                     | arry out the purposes             |
|          |        | of one or more publicly su  | •                     | •   |                   |                              |                                     | • • • •                           |
|          |        | Check the box in lines 12a  |                       |   |                   |                              |                                     |                                   |
| а        |        | Type I. A supporting org  | _                     |   |                   |                              | ·                                   | =                                 |
|          |        | the supported organizati  | •                     | •   | •                 |                              | • , , ,                             |                                   |
|          |        | _ supporting organization.  |                       |   |                   | .,. ,                        |                                     |                                   |
| b        |        | Type II. A supporting org   | -                     |   |                   | with its                     | supported organization              | on(s), by having                  |
|          |        | control or management   | -                     |   |                   |                              |                                     |                                   |
|          |        | _ organization(s). You mus  | t complete Part IV    | , Sections A and C.                                 |                   |                              |                                     |                                   |
| С        |        | Type III functionally inte  | egrated. A supporti   | ng organization opera                               | ated in c         | onnectio                     | n with, and functional              | ly integrated with,               |
|          | _      | _ its supported organizatio   | n(s) (see instruction | ns). You must comple                                | te Part I         | V, Section                   | ons A, D, and E.                    |                                   |
| d        |        | Type III non-functionally   | integrated. A sup     | porting organization of                             | perated           | in conne                     | ection with its suppor              | ted organization(s)               |
|          |        | that is not functionally int  | tegrated. The orgai   | nization generally mus                              | st satisfy        | a distrib                    | oution requirement and              | d an attentiveness                |
|          | _      | _ requirement (see instruc  | tions). You must co   | omplete Part IV, Sect                               | ions A a          | nd D, an                     | d Part V.                           |                                   |
| е        |        | $oldsymbol{ol}}}}}}}}}}}}}}}}}$ | anization received    | a written determinatio                              | n from t          | he IRS tl                    | hat it is a Type I, Type I          | I, Type III                       |
|          |        | functionally integrated, o  |                       |   | porting o         | organizat                    | ion.                                |                                   |
| f        |        | er the number of supporte   | _                     |   |                   |                              |                                     |                                   |
| <u>g</u> |        | vide the following informat   | 1                     |   | 1                 |                              |                                     |                                   |
|          | (I) Na | ame of supported organization   | (ii) EIN              | (iii) Type of organization (described on lines 1-10 | , ,               | organization<br>ur governing | (v) Amount of monetary support (see | (vi) Amount of other support (see |
|          |        |   |                       | above (see instructions))                           | docu              | ment?                        | instructions)                       | instructions)                     |
|          |        |   |                       |   | Yes               | No                           |                                     |                                   |
| (A)      |        |   |                       |   |                   |                              |                                     |                                   |
|          |        |   |                       |   |                   |                              |                                     |                                   |
| (B)      |        |   |                       |   |                   |                              |                                     |                                   |
|          |        |   |                       |   |                   |                              |                                     |                                   |
| (C)      |        |   |                       |   |                   |                              |                                     |                                   |
|          |        |   |                       |   |                   |                              |                                     |                                   |
| (D)      |        |   |                       |   |                   |                              |                                     |                                   |
| /E\      |        |   |                       |   |                   |                              |                                     |                                   |
| (E)      |        |   |                       |   |                   |                              |                                     |                                   |
| Tota     | <br>.l |   |                       |   |                   |                              |                                     |                                   |
| 1010     |        |   |                       |   |                   |                              | 1                                   | İ                                 |

Page 2 Schedule A (Form 990 or 990-EZ) 2019

| Par    | Support Schedule for Orga<br>(Complete only if you checke<br>Part III. If the organization fail  | d the box on           | line 5, 7, or 8  | of Part I or if t | he organization   | on failed to qua  |            |
|--------|--|------------------------|------------------|-------------------|-------------------|-------------------|------------|
| Sec    | tion A. Public Support   |                        |                  |                   | · ·               | •                 |            |
|        | ndar year (or fiscal year beginning in)  | (a) 2015               | <b>(b)</b> 2016  | (c) 2017          | (d) 2018          | <b>(e)</b> 2019   | (f) Total  |
| 1      | Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")   |                        |                  |                   |                   |                   |            |
| 2      | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf  |                        |                  |                   |                   |                   |            |
| 3      | The value of services or facilities furnished by a governmental unit to the organization without charge  |                        |                  |                   |                   |                   |            |
| 4      | Total. Add lines 1 through 3   |                        |                  |                   |                   |                   |            |
| 5      | The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)  Public support. Subtract line 5 from line 4 |                        |                  |                   |                   |                   |            |
| 6      |  |                        |                  |                   |                   |                   |            |
|        | tion B. Total Support  | (a) 2015               | <b>(b)</b> 2016  | (a) 2017          | (4) 2019          | (a) 2010          | (f) Total  |
|        | endar year (or fiscal year beginning in)   | (a) 2015               | ( <b>b)</b> 2016 | (c) 2017          | (d) 2018          | (e) 2019          | (f) Total  |
| 7<br>8 | Amounts from line 4 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources  |                        |                  |                   |                   |                   |            |
| 9      | Net income from unrelated business activities, whether or not the business is regularly carried on   |                        |                  |                   |                   |                   |            |
| 10     | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)  |                        |                  |                   |                   |                   |            |
| 11     | Total support. Add lines 7 through 10  |                        |                  |                   |                   |                   |            |
| 12     | Gross receipts from related activities, etc. (s  | ee instructions)       |                  |                   |                   | 12                |            |
| 13     | <b>First five years.</b> If the Form 990 is forganization, check this box and <b>stop here</b>   |                        |                  |                   |                   |                   |            |
| Sec    | tion C. Computation of Public Sup  |                        |                  |                   |                   |                   |            |
|        | Public support percentage for 2019 (li   |                        |                  | 11. column (f))   |                   | 14                | o          |
| 15     | Public support percentage from 2018  |                        |                  |                   |                   |                   | q          |
| 16a    | 331/3% support test - 2019. If the org   |                        |                  |                   |                   |                   | heck this  |
|        | box and <b>stop here.</b> The organization qu  |                        |                  |                   |                   |                   |            |
| b      | 331/3% support test - 2018. If the org   | •                      |                  | •                 |                   |                   |            |
|        | this box and stop here. The organization   | on qualifies as        | a publicly suppo | rted organizatio  | n                 |                   | ▶ ∟        |
| 17a    | 10%-facts-and-circumstances test - 2   | <b>2019.</b> If the or | ganization did n | ot check a box    | on line 13, 16    | a, or 16b, and    | line 14 is |
|        | 10% or more, and if the organization   |                        |                  |                   |                   | -                 | -          |
|        | Part VI how the organization meets t   | he "facts-and-         | circumstances" t | est. The organ    | ization qualifies | s as a publicly s | supported  |
|        | organization   |                        |                  |                   |                   |                   | ▶ ∟        |
| b      | 10%-facts-and-circumstances test - 2   |                        | •                |                   |                   |                   |            |
|        | 15 is 10% or more, and if the orga   |                        |                  |                   |                   |                   | -          |
|        | Explain in Part VI how the organization  |                        |                  |                   | =                 |                   |            |
| 40     | supported organization   |                        |                  |                   |                   |                   |            |
| 18     | <b>Private foundation.</b> If the organization instructions  |                        |                  |                   |                   |                   |            |

Schedule A (Form 990 or 990-EZ) 2019 Page 3

#### Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec             | tion A. Public Support   |                   |                 | , i               | <u> </u>        | ,                |              |
|-----------------|--|-------------------|-----------------|-------------------|-----------------|------------------|--------------|
|                 | ndar year (or fiscal year beginning in)  | (a) 2015          | <b>(b)</b> 2016 | (c) 2017          | (d) 2018        | <b>(e)</b> 2019  | (f) Total    |
| 1               | Gifts, grants, contributions, and membership fees  |                   |                 |                   |                 |                  |              |
|                 | received. (Do not include any "unusual grants.")   | 6,273,762.        | 2,084,493.      | 1,932,227.        | 1,829,613.      | 1,708,860.       | 13,828,955.  |
| 2               | Gross receipts from admissions, merchandise  |                   |                 |                   |                 |                  |              |
|                 | sold or services performed, or facilities  |                   |                 |                   |                 |                  |              |
|                 | furnished in any activity that is related to the   |                   |                 |                   |                 |                  |              |
|                 | organization's tax-exempt purpose  | 86,154,271.       | 88,465,696.     | 96,438,633.       | 101,457,242.    | 115,591,452.     | 488,107,294. |
| 3               | Gross receipts from activities that are not an   | ,                 |                 | , ,               |                 |                  |              |
| ·               | unrelated trade or business under section 513  |                   |                 |                   |                 |                  | 0.           |
| 4               | Tax revenues levied for the  |                   |                 |                   |                 |                  |              |
| •               | organization's benefit and either paid to  |                   |                 |                   |                 |                  |              |
|                 | or expended on its behalf  |                   |                 |                   |                 |                  | 0.           |
| 5               | The value of services or facilities  |                   |                 |                   |                 |                  |              |
| 3               | furnished by a governmental unit to the  |                   |                 |                   |                 |                  |              |
|                 | organization without charge  |                   |                 |                   |                 |                  | 0.           |
| 6               |  | 92,428,033.       | 90,550,189.     | 98,370,860.       | 103,286,855.    | 117,300,312.     | 501,936,249. |
| 6               | Total. Add lines 1 through 5   | 92,420,U33.       | 90,930,109.     | 30,370,000.       | 103,200,035.    | 111,300,312.     | 301,330,249. |
| / a             | Amounts included on lines 1, 2, and 3 received from disqualified persons   |                   |                 |                   |                 |                  | 0.           |
| h               | Amounts included on lines 2 and 3  |                   |                 |                   |                 |                  | 0.           |
| J               | received from other than disqualified  |                   |                 |                   |                 |                  |              |
|                 | persons that exceed the greater of \$5,000   |                   |                 |                   |                 |                  | 0            |
|                 | or 1% of the amount on line 13 for the year  |                   |                 |                   |                 |                  | 0.           |
| С<br>8          | Add lines 7a and 7b.   |                   |                 |                   |                 |                  | 0.           |
| 0               | Public support. (Subtract line 7c from   |                   |                 |                   |                 |                  | 501,936,249. |
| 500             | tion B. Total Support  |                   |                 |                   |                 |                  | 301,930,249. |
|                 | ndar year (or fiscal year beginning in)  | (a) 2015          | <b>(b)</b> 2016 | (c) 2017          | (d) 2018        | <b>(e)</b> 2019  | (f) Total    |
|                 | Amounts from line 6  | 92,428,033.       | 90,550,189.     | 98,370,860.       | 103,286,855.    | 117,300,312.     | 501,936,249. |
| 9<br>10 a       | Gross income from interest, dividends,   | JZ, 120, 033.     | 30,330,103.     | 30,370,000.       | 103,200,033.    | 117,300,312.     | 301,330,213. |
|                 | payments received on securities loans,   |                   |                 |                   |                 |                  |              |
|                 | rents, royalties, and income from similar sources  | 297,155.          | 253,703.        | 276,453.          | 330,037.        | 272,933.         | 1,430,281.   |
| h               | Unrelated business taxable income (less  | 277,133.          | 233,703.        | 270,433.          | 330,037.        | 272,755.         | 1,450,201.   |
| b               | section 511 taxes) from businesses   |                   |                 |                   |                 |                  |              |
|                 | acquired after June 30, 1975   |                   |                 |                   |                 |                  | 0.           |
| •               | Add lines 10a and 10b  | 297,155.          | 253,703.        | 276,453.          | 330,037.        | 272,933.         | 1,430,281.   |
| 11              | Net income from unrelated business   | 297,133.          | 233,703.        | 270,433.          | 330,037.        | 212,933.         | 1,430,201.   |
| • •             | activities not included in line 10b, whether   |                   |                 |                   |                 |                  |              |
|                 | ·  | 120 410           | 115 055         | 140 142           | 122 020         | 114 064          | 640 610      |
|                 | or not the business is regularly carried on  | 129,418.          | 115,055.        | 149,143.          | 132,939.        | 114,064.         | 640,619.     |
| 12              | Other income. Do not include gain or   |                   |                 |                   |                 |                  |              |
|                 | loss from the sale of capital assets   |                   | 146,900.        | 167,795.          | 975,517.        | 1,209,778.       | 2,499,990.   |
| 12              | (Explain in Part VI.) ATCH 1  Total support. (Add lines 9, 10c, 11,  |                   | 140,900.        | 10/,/95.          | 9/5,51/.        | 1,209,778.       | 2,499,990.   |
| 13              | , , , , , , ,  | 92,854,606.       | 91,065,847.     | 98,964,251.       | 104 725 240     | 118,897,087.     | 506,507,139. |
| 1.4             | and 12.)   |                   |                 |                   | 104,725,348.    |                  |              |
| 14              | organization, check this box and <b>stop here</b> .  | _                 |                 |                   |                 |                  | 501(c)(3)    |
| 500             | tion C. Computation of Public Supp   |                   |                 |                   |                 |                  |              |
| <u> 15</u>      | Public support percentage for 2019 (line 8,  |                   | •               | nn (f))           |                 | 15               | 99.10%       |
| 16              | Public support percentage from 2018 Sche   | , ,               | •               |                   |                 | 16               | 98.99%       |
|                 | tion D. Computation of Investment  |                   |                 |                   |                 |                  | - 3 - 2 - 70 |
| <del>36</del> 0 | Investment income percentage for 2019 (lin   |                   |                 | 3 column (f))     |                 | 17               | .28%         |
| 18              | Investment income percentage for 2019 (iii  Investment income percentage from 2018 §                                 |                   |                 |                   |                 | 18               | .30%         |
|                 | 331/3% support tests - 2019. If the org  |                   |                 |                   |                 |                  |              |
| ıJd             |  | -                 |                 |                   |                 |                  |              |
|                 | 17 is not more than 221/20/ shook this   |                   |                 |                   |                 |                  |              |
| h               | 17 is not more than 331/3%, check thi  |                   | _               |                   |                 |                  |              |
| b               | 17 is not more than 331/3%, check thi 331/3% support tests - 2018. If the orgaline 18 is not more than 331/3%, check | anization did not | check a box on  | line 14 or line 1 | 9a, and line 16 | is more than 331 | /3 %, and    |

Schedule A (Form 990 or 990-EZ) 2019 Page 4

### Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

# Section A. All Supporting Organizations

- Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
   Did the organization have any supported organization that does not have an IRS determination of status
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in **Part VI**, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b** Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in **Part VI.**
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in **Part VI.**
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in **Part VI.**
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in **Part VI.**
- 10 a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
  - Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, t determine whether the organization had excess business holdings.)

| ng by 1  |      |     | Yes   | No      |
|--|------|-----|-------|---------|
| us ed 2 //er 3a  |      |     |       |         |
| ed   |      | 1   |       |         |
| ### 3a   |      |     |       |         |
| 3a   |      | 2   |       |         |
| ## ## ## ## ## ## ## ## ## ## ## ## ##   | /er  | 3a  |       |         |
| B) 3c  |      |     |       |         |
| 3c   ff   4a   gn   on   4b   on   ed   fB   4c   s, "   sill   fb   ff   ff   ff   ff   ff   ff   |      | 3b  |       |         |
| ## ## ## ## ## ## ## ## ## ## ## ## ##   | B)   |     |       |         |
| 4a   |      | 3с  |       |         |
| on   | If   | 4a  |       |         |
| 4b   |      |     |       |         |
| ed (B) 4c  |      | 4b  |       |         |
| s," SIN Son; Son Sa  dy Sb Sc  to ed or 6 or ity 7 7? 8 sere ed 9a ch 9b sfit 9c on ed 10a to  | ed   |     |       |         |
| 5a   | •    | 4c  |       |         |
| 5a   | IN   |     |       |         |
| 5b   5c  |      |     |       |         |
| 5b   5c   10a   10 |      | 5a  |       |         |
| to ed or 6 or ity 7 7? 8 are ed 9a ch 9b efit 9c on ed 10a to  | dy   | 5 h |       |         |
| to ed or ed or ed or et  |      |     |       |         |
| ed or 6 or 6 or 7 or 7? 8 sore ed 9a och 9b or 6 on ed 10a to  |      | 30  |       |         |
| or ity 7 7? 8 8 ore ed 9a ch 9b offit 9c on ed 10a to  | ed   |     |       |         |
| 7 7 8 8 9a ch 9b 9c on ed 10a to   |      | 6   |       |         |
| 7   8   9a   9b   9c   10a   to  |      |     |       |         |
| 8 9a 9a 9b 9b 9c   |      | 7   |       |         |
| greed 9a 9a 9b 9b 9c   | 7?   | 8   |       |         |
| ed 9a 9a 9b 9b 9c  | re   |     |       |         |
| 9b 9c on ed 10a  |      |     |       |         |
| 9b 9c 9c 9c 9d 9c 9d   |      | 9a  |       |         |
| 9c   | ch   | 9b  |       |         |
| 10a to   | efit | 9c  |       |         |
| to 10a   |      |     |       |         |
| to   | ed   | 100 |       |         |
| 110h   | to   |     |       |         |
| Form 990 or 990-F7) 2019   |      | 10b | 000 5 | 7) 2040 |

| Jeneau | ne A (1 0111 330 01 330 EZ) 2013   |         |          | age • |
|--------|--|---------|----------|-------|
| Part   | N Supporting Organizations (continued)   |         | <b>V</b> |       |
|        |  |         | Yes      | No    |
| 11     | Has the organization accepted a gift or contribution from any of the following persons?  |         |          |       |
| а      | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)   | 44.     |          |       |
| _      | below, the governing body of a supported organization?   | 11a     |          |       |
|        | A family member of a person described in (a) above?  | 11b     |          |       |
|        | A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .  | 11c     |          |       |
| Secti  | on B. Type I Supporting Organizations  |         | V        | NIa   |
|        |  |         | Yes      | No    |
| 1      | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the                   |         |          |       |
|        | tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or   |         |          |       |
|        | controlled the organization's activities. If the organization had more than one supported organization,  |         |          |       |
|        | describe how the powers to appoint and/or remove directors or trustees were allocated among the supported  |         |          |       |
|        | organizations and what conditions or restrictions, if any, applied to such powers during the tax year.   | 1       |          |       |
| 2      | Did the organization operate for the benefit of any supported organization other than the supported  |         |          |       |
| _      | organization(s) that operated, supervised, or controlled the supporting organization? <i>If</i> "Yes," <i>explain in Part</i>  |         |          |       |
|        | VI how providing such benefit carried out the purposes of the supported organization(s) that operated,   |         |          |       |
|        | supervised, or controlled the supporting organization.   | 2       |          |       |
| Secti  | on C. Type II Supporting Organizations   |         |          | •     |
|        |  |         | Yes      | No    |
| 1      | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors   |         |          |       |
| -      | or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control   |         |          |       |
|        | or management of the supporting organization was vested in the same persons that controlled or managed   |         |          |       |
|        | the supported organization(s).   | 1       |          |       |
| Secti  | on D. All Type III Supporting Organizations  |         |          |       |
|        |  |         | Yes      | No    |
| 1      | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the   |         |          |       |
|        | organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of |         |          |       |
|        | the organization's governing documents in effect on the date of notification, to the extent not previously   |         |          |       |
|        | provided?  | 1       |          |       |
| 2      | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported   |         |          |       |
|        | organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how   |         |          |       |
|        | the organization maintained a close and continuous working relationship with the supported organization(s).  | 2       |          |       |
| 3      | By reason of the relationship described in (2), did the organization's supported organizations have a  |         |          |       |
|        | significant voice in the organization's investment policies and in directing the use of the organization's   |         |          |       |
|        | income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's   |         |          |       |
|        | supported organizations played in this regard.   | 3       |          |       |
| Secti  | on E. Type III Functionally Integrated Supporting Organizations  |         |          |       |
| 1      | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see ins   | tructi  | ons).    |       |
| а      | The organization satisfied the Activities Test. Complete line 2 below.   |         |          |       |
| b      | The organization is the parent of each of its supported organizations. Complete line 3 below.  |         |          |       |
| С      | The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see   | instruc | ctions)  | •     |
| •      | Activities Test Anguay (a) and (b) helaw   |         | Yes      | No    |
| 2      | Activities Test. Answer (a) and (b) below.   |         |          |       |
| а      | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI identify</b>     |         |          |       |
|        | those supported organizations and explain how these activities directly furthered their exempt purposes,   |         |          |       |
|        | how the organization was responsive to those supported organizations, and how the organization determined  |         |          |       |
|        | that these activities constituted substantially all of its activities.   | 2a      |          |       |
| b      | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more  |         |          |       |
| D      | of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the  |         |          |       |
|        | reasons for the organization's position that its supported organization(s) would have engaged in these   |         |          |       |
|        | activities but for the organization's involvement.   | 2b      |          |       |
| 3      | Parent of Supported Organizations. <i>Answer (a) and (b) below.</i>  |         |          |       |
| a      | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or  |         |          |       |
|        | trustees of each of the supported organizations? <i>Provide details in Part VI.</i>  | 3a      |          |       |
| b      | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each  |         |          |       |
|        | of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.   | 3b      |          |       |

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| Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organ                                   | nization  | S                        | -                           |
|--|-----------|--------------------------|-----------------------------|
| 1 Check here if the organization satisfied the Integral Part Test as a qualifying                        | -         |                          | •                           |
| instructions. All other Type III non-functionally integrated supporting organization                     | zations r | must complete Sectio     | ns A through E.             |
| Section A - Adjusted Net Income  |           | (A) Prior Year           | (B) Current Year (optional) |
| 1 Net short-term capital gain  | 1         |                          |                             |
| 2 Recoveries of prior-year distributions   | 2         |                          |                             |
| 3 Other gross income (see instructions)  | 3         |                          |                             |
| 4 Add lines 1 through 3.   | 4         |                          |                             |
| 5 Depreciation and depletion   | 5         |                          |                             |
| 6 Portion of operating expenses paid or incurred for production or                                       |           |                          |                             |
| collection of gross income or for management, conservation, or   |           |                          |                             |
| maintenance of property held for production of income (see instructions)                                 | 6         |                          |                             |
| 7 Other expenses (see instructions)  | 7         |                          |                             |
| 8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)   | 8         |                          |                             |
| Section B - Minimum Asset Amount   |           | (A) Prior Year           | (B) Current Year (optional) |
| 1 Aggregate fair market value of all non-exempt-use assets (see  |           |                          |                             |
| instructions for short tax year or assets held for part of year):  |           |                          |                             |
| a Average monthly value of securities  | 1a        |                          |                             |
| <b>b</b> Average monthly cash balances   | 1b        |                          |                             |
| c Fair market value of other non-exempt-use assets   | 1c        |                          |                             |
| d Total (add lines 1a, 1b, and 1c)   | 1d        |                          |                             |
| e Discount claimed for blockage or other   |           |                          |                             |
| factors (explain in detail in Part VI):  |           |                          |                             |
| 2 Acquisition indebtedness applicable to non-exempt-use assets   | 2         |                          |                             |
| 3 Subtract line 2 from line 1d.  | 3         |                          |                             |
| <b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions). | 4         |                          |                             |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3)                                       | 5         |                          |                             |
| 6 Multiply line 5 by .035.   | 6         |                          |                             |
| 7 Recoveries of prior-year distributions   | 7         |                          |                             |
| 8 Minimum Asset Amount (add line 7 to line 6)  | 8         |                          |                             |
| Section C - Distributable Amount   |           |                          | Current Year                |
| 1 Adjusted net income for prior year (from Section A, line 8, Column A)                                  | 1         |                          |                             |
| 2 Enter 85% of line 1.   | 2         |                          |                             |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A)                                 | 3         |                          |                             |
| 4 Enter greater of line 2 or line 3.   | 4         |                          |                             |
| 5 Income tax imposed in prior year   | 5         |                          |                             |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to                                   |           |                          |                             |
| emergency temporary reduction (see instructions).  | 6         |                          |                             |
| 7 Check here if the current year is the organization's first as a non-functionall                        | y integra | ated Type III supporting | g organization (see         |
| instructions).   | -         |                          | •                           |

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| Part  | Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued) |                             |  |   |  |  |  |
|-------|--|-----------------------------|--|---|--|--|--|
| Secti | Section D - Distributions  |                             |  |   |  |  |  |
| 1     | Amounts paid to supported organizations to accomplish ex                                   | cempt purposes              |  |   |  |  |  |
| 2     | Amounts paid to perform activity that directly furthers exen                               | npt purposes of support     | ed                                     |   |  |  |  |
|       | organizations, in excess of income from activity   |                             |  |   |  |  |  |
| 3     | Administrative expenses paid to accomplish exempt purpo                                    | ses of supported organiz    | zations                                |   |  |  |  |
| 4     | Amounts paid to acquire exempt-use assets  |                             |  |   |  |  |  |
| 5     | Qualified set-aside amounts (prior IRS approval required)                                  |                             |  |   |  |  |  |
| 6     | Other distributions (describe in Part VI). See instructions.                               |                             |  |   |  |  |  |
| 7     | <b>Total annual distributions.</b> Add lines 1 through 6.                                  |                             |  |   |  |  |  |
| 8     | Distributions to attentive supported organizations to which                                | the organization is resp    | onsive                                 |   |  |  |  |
|       | (provide details in Part VI). See instructions.  |                             |  |   |  |  |  |
| 9     | Distributable amount for 2019 from Section C, line 6                                       |                             |  |   |  |  |  |
| 10    | Line 8 amount divided by line 9 amount   |                             |  |   |  |  |  |
|       | Section E - Distribution Allocations (see instructions)                                    | (i)<br>Excess Distributions | (ii)<br>Underdistributions<br>Pre-2019 | (iii)<br>Distributable<br>Amount for 2019 |  |  |  |
| _1    | Distributable amount for 2019 from Section C, line 6                                       |                             |  |   |  |  |  |
| 2     | Underdistributions, if any, for years prior to 2019  |                             |  |   |  |  |  |
|       | (reasonable cause required - explain in Part VI). See                                      |                             |  |   |  |  |  |
|       | instructions.  |                             |  |   |  |  |  |
| 3     | Excess distributions carryover, if any, to 2019  |                             |  |   |  |  |  |
| а     | From 2014  |                             |  |   |  |  |  |
| b     | From 2015  |                             |  |   |  |  |  |
| C     | From 2016  |                             |  |   |  |  |  |
| d     | From 2017  |                             |  |   |  |  |  |
| е     | From 2018  |                             |  |   |  |  |  |
| f     | Total of lines 3a through e  |                             |  |   |  |  |  |
| g     | Applied to underdistributions of prior years   |                             |  |   |  |  |  |
| h     | Applied to 2019 distributable amount   |                             |  |   |  |  |  |
| i     | Carryover from 2014 not applied (see instructions)   |                             |  |   |  |  |  |
| j_    | Remainder. Subtract lines 3g, 3h, and 3i from 3f.  |                             |  |   |  |  |  |
| 4     | Distributions for 2019 from  |                             |  |   |  |  |  |
|       | Section D, line 7: \$  |                             |  |   |  |  |  |
| a     | Applied to underdistributions of prior years   |                             |  |   |  |  |  |
| b     | Applied to 2019 distributable amount   |                             |  |   |  |  |  |
| c     | Remainder. Subtract lines 4a and 4b from 4.  |                             |  |   |  |  |  |
| 5     | Remaining underdistributions for years prior to 2019, if                                   |                             |  |   |  |  |  |
|       | any. Subtract lines 3g and 4a from line 2. For result                                      |                             |  |   |  |  |  |
|       | greater than zero, explain in <b>Part VI.</b> See instructions.                            |                             |  |   |  |  |  |
| 6     | Remaining underdistributions for 2019. Subtract lines 3h                                   |                             |  |   |  |  |  |
|       | and 4b from line 1. For result greater than zero, explain in                               |                             |  |   |  |  |  |
|       | Part VI. See instructions.   |                             |  |   |  |  |  |
| 7     | Excess distributions carryover to 2020. Add lines 3j                                       |                             |  |   |  |  |  |
|       | and 4c.  |                             |  |   |  |  |  |
| 8     | Breakdown of line 7:   |                             |  |   |  |  |  |
| a     | Excess from 2015   |                             |  |   |  |  |  |
| b     | Excess from 2016   |                             |  |   |  |  |  |
| C     | Excess from 2017   |                             |  |   |  |  |  |
| d     | Excess from 2018   |                             |  |   |  |  |  |
| е     | Excess from 2019   |                             |  |   |  |  |  |

Schedule A (Form 990 or 990-EZ) 2019 Page 8

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

|                      |                |          |          | AT'      | TACHMENT 1 |            |
|----------------------|----------------|----------|----------|----------|------------|------------|
| SCHEDULE A, PART III | - OTHER INCOME |          |          |          |            |            |
| DESCRIPTION          | 2015           | 2016     | 2017     | 2018     | 2019       | TOTAL      |
| OTHER INCOME         |                | 146,900. | 167,795. | 975,517. | 1,209,778. | 2,499,990. |
| TOTALS               |                | 146,900. | 167,795. | 975,517. | 1,209,778. | 2,499,990. |

#### Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

## Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information. OMB No. 1545-0047

2019

**Employer identification number** Name of the organization RISING GROUND, INC. 13-1860451 Organization type (check one): Filers of: Section: X Form 990 or 990-EZ 501(c)(3 ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. **General Rule**  $\mid$  X  $\mid$  For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. **Special Rules** For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III. For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990,

990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

|            |  |  | 13-1660451  |
|------------|--|--|---|
| Part I Co  | ontributors (see instructions). Use duplicate copi | es of Part I if additional space is ne | eded.   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                  | (c)<br>Total contributions             | (d)<br>Type of contribution   |
|            |  | \$\$                                   | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                  | (c)<br>Total contributions             | (d)<br>Type of contribution   |
|            |  | \$\$                                   | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                  | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 3          |  | \$\$                                   | Person Payroll Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                  | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 4          |  | \$\$                                   | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                  | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 5<br>      |  | \$\$\$                                 | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                  | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 6          |  | \$                                     | Person Payroll Noncash (Complete Part II for noncash contributions.)  |

| Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed. |                                   |                            |  |  |
|---|-----------------------------------|----------------------------|--|--|
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution  |  |
| 7   |                                   | \$\$                       | Person X Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 8   |                                   | \$\$50,000.                | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d) Type of contribution   |  |
| 9   |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 10  |                                   | \$50,000.                  | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 11  |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution  |  |
| 12  |                                   | \$25,000.                  | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d) Type of contribution  |
|------------|-----------------------------------|----------------------------|---|
|            |                                   | \$\$                       | Person Payroll Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|            |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution   |
|            |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d) Type of contribution  |
| 16         |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d) Type of contribution  |
| 17         |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 18         |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |

|            |  |  | 13-1000451  |
|------------|--|--|---|
| Part I     | Contributors (see instructions). Use duplicate copie | es of Part I if additional space is ne | eded.   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 19         |  | \$\$                                   | Person  Payroll  Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
|            |  | \$\$                                   | Person  Payroll  Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 21         |  | \$\$                                   | Person Payroll Noncash  (Complete Part II for noncash contributions.)   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
|            |  | \$\$                                   | Person Payroll Noncash (Complete Part II for noncash contributions.)    |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
|            |  | \$\$                                   | Person Payroll Noncash (Complete Part II for noncash contributions.)    |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
|            |  | \$\$                                   | Person Payroll Noncash (Complete Part II for noncash contributions.)    |

|            |  |  | 13-1000451  |
|------------|--|--|---|
| Part I     | Contributors (see instructions). Use duplicate copie | es of Part I if additional space is ne | eeded.  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 25         |  | \$\$                                   | Person  Payroll  Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 26         |  | \$\$                                   | Person  Payroll  Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 27         |  | \$\$                                   | Person Payroll Noncash  (Complete Part II for noncash contributions.)   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c) Total contributions                | (d)<br>Type of contribution   |
| 28         |  | \$\$                                   | Person Payroll Noncash  (Complete Part II for noncash contributions.)   |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 29         |  | \$\$                                   | Person  Payroll  Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                    | (c)<br>Total contributions             | (d)<br>Type of contribution   |
| 30         |  | \$\$                                   | Person  Payroll  Noncash  (Complete Part II for noncash contributions.) |

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 31         |                                   | \$<br>\$                   | Person Payroll Noncash  (Complete Part II for noncash contributions.) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 32         |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 33         |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution   |
| 34         |                                   | \$\$.                      | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d) Type of contribution  |
| 35         |                                   | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
| 36         |                                   | \$\$.                      | Person Payroll Noncash  (Complete Part II for noncash contributions.) |

| (a) | (b)                        | (c) Total contributions | (d)  |
|-----|----------------------------|-------------------------|--|
| No. | Name, address, and ZIP + 4 |                         | Type of contribution   |
| 37  |                            | \$<br>\$                | Person Payroll Noncash  (Complete Part II for noncash contributions.)  |
| (a) | (b)                        | (c)                     | (d)  |
| No. | Name, address, and ZIP + 4 | Total contributions     | Type of contribution   |
| 38  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)   |
| (a) | (b)                        | (c)                     | (d)  |
| No. | Name, address, and ZIP + 4 | Total contributions     | Type of contribution   |
| 39  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)   |
| (a) | (b)                        | (c) Total contributions | (d)  |
| No. | Name, address, and ZIP + 4 |                         | Type of contribution   |
| 40  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)   |
| (a) | (b)                        | (c) Total contributions | (d)  |
| No. | Name, address, and ZIP + 4 |                         | Type of contribution   |
| 41  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)   |
| (a) | (b)                        | (c)                     | (d)  |
| No. | Name, address, and ZIP + 4 | Total contributions     | Type of contribution   |
| 42  |                            | \$\$                    | Person X Payroll Noncash (Complete Part II for noncash contributions.) |

| Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed. |                                   |                            |  |  |
|---|-----------------------------------|----------------------------|--|--|
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution  |  |
| 43  |                                   | \$\$ 7,500.                | Person X Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 44  |                                   | \$\$7,500.                 | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 45  |                                   | \$\$.                      | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 46  |                                   | \$\$.                      | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 47  |                                   | \$\$5,000.                 | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |
| (a)<br>No.  | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution  |  |
| 48  |                                   | \$ 5,000.                  | Person Payroll Noncash (Complete Part II for noncash contributions.)   |  |

| (a) | (b)                        | (c) Total contributions | (d)   |
|-----|----------------------------|-------------------------|---|
| No. | Name, address, and ZIP + 4 |                         | Type of contribution  |
| 49  |                            | \$\$                    | Person Payroll Noncash  (Complete Part II for noncash contributions.) |
| (a) | (b)                        | (c)                     | (d)   |
| No. | Name, address, and ZIP + 4 | Total contributions     | Type of contribution  |
| 50  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a) | (b)                        | (c) Total contributions | (d)   |
| No. | Name, address, and ZIP + 4 |                         | Type of contribution  |
| 51  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a) | (b)                        | (c) Total contributions | (d)   |
| No. | Name, address, and ZIP + 4 |                         | Type of contribution  |
| 52  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a) | (b)                        | (c) Total contributions | (d)   |
| No. | Name, address, and ZIP + 4 |                         | Type of contribution  |
| 53  |                            | \$\$5,000               | Person Payroll Noncash (Complete Part II for noncash contributions.)  |
| (a) | (b)                        | (c)                     | (d)   |
| No. | Name, address, and ZIP + 4 | Total contributions     | Type of contribution  |
| 54  |                            | \$\$                    | Person Payroll Noncash (Complete Part II for noncash contributions.)  |

| Part I     | Contributors (see instructions). Use duplicate copies of Part I if additional space is needed. |                            |  |  |
|------------|--|----------------------------|--|--|
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution  |  |
| 55         |  | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 56         |  | \$\$.                      | Person Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c) Total contributions    | (d)<br>Type of contribution  |  |
| 57         |  | \$\$                       | Person Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c)<br>Total contributions | (d)<br>Type of contribution  |  |
|            |  | \$                         | Person Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c) Total contributions    | (d)<br>Type of contribution  |  |
|            |  | \$                         | Person Payroll Noncash (Complete Part II for noncash contributions.) |  |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4  | (c) Total contributions    | (d)<br>Type of contribution  |  |
|            |  |                            | Person Payroll Noncash (Complete Part II for noncash contributions.) |  |

Name of organization RISING GROUND, INC.

| art II | Noncash Property | (see instructions) | ). Use duplicate c | opies of Part II if a | dditional space is needed. |
|--------|------------------|--------------------|--------------------|-----------------------|----------------------------|
|--------|------------------|--------------------|--------------------|-----------------------|----------------------------|

| (a) No.<br>from<br>Part I | (b)  Description of noncash property given  (c)  FMV (or estimate)  (See instructions.) |   | (d)<br>Date received |
|---------------------------|---|---|----------------------|
|                           |   | \$  |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |   | \$  |                      |
| (a) No.<br>from<br>Part I | (b) Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |   | \$  |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |   | \$  |                      |
| (a) No.<br>from<br>Part I | (b) Description of noncash property given   | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |   | \$  |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c) FMV (or estimate) (See instructions.) | (d)<br>Date received |
|                           |   | \$  |                      |

Page 4 Schedule B (Form 990, 990-EZ, or 990-PF) (2019) Name of organization RISING GROUND, INC. **Employer identification number** 13-1860451 Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶\$ Use duplicate copies of Part III if additional space is needed. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. (b) Purpose of gift (c) Use of gift (d) Description of how gift is held from Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

# **SCHEDULE D** (Form 990)

# Supplemental Financial Statements ► Complete if the organization answered "Yes" on Form 990,

Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

Employer identification number

| RIS | SING GROUND, INC.   | 13-1860451                              |
|-----|---|---|
| Pa  | organizations Maintaining Donor Advised Funds or Other Similar Funds or   | Accounts.                               |
|     | Complete if the organization answered "Yes" on Form 990, Part IV, line 6.   |   |
|     | (a) Donor advised funds   | (b) Funds and other accounts            |
| 1   | Total number at end of year   |   |
| 2   | Aggregate value of contributions to (during year)   |   |
| 3   | Aggregate value of grants from (during year)  |   |
| 4   | Aggregate value at end of year  |   |
| 5   | Did the organization inform all donors and donor advisors in writing that the assets held i   | n donor advised                         |
|     | funds are the organization's property, subject to the organization's exclusive legal control?   |   |
| 6   | Did the organization inform all grantees, donors, and donor advisors in writing that grant ful  |   |
|     | only for charitable purposes and not for the benefit of the donor or donor advisor, or for ar   |   |
|     | conferring impermissible private benefit?   |   |
| Pa  | rt    Conservation Easements.   |   |
|     | Complete if the organization answered "Yes" on Form 990, Part IV, line 7.   |   |
| 1   | Purpose(s) of conservation easements held by the organization (check all that apply).   |   |
|     | Preservation of land for public use (for example, recreation or education)  Preservation of   | of a historically important land area   |
|     | Protection of natural habitat Preservation of   | of a certified historic structure       |
|     | Preservation of open space  |   |
| 2   | Complete lines 2a through 2d if the organization held a qualified conservation contribution in  |   |
|     | easement on the last day of the tax year.   | Held at the End of the Tax Year         |
| а   | Total number of conservation easements  | 2a                                      |
| b   | Total acreage restricted by conservation easements  | 2b                                      |
| С   | Number of conservation easements on a certified historic structure included in (a)  | 2c                                      |
| d   | Number of conservation easements included in (c) acquired after 7/25/06, and not on a   |   |
|     | historic structure listed in the National Register  | 2d                                      |
| 3   | Number of conservation easements modified, transferred, released, extinguished, or termination  | nated by the organization during the    |
|     | tax year 🕨  |   |
| 4   | Number of states where property subject to conservation easement is located ▶   |   |
| 5   | Does the organization have a written policy regarding the periodic monitoring, inspection   | -                                       |
|     | violations, and enforcement of the conservation easements it holds?   |   |
| 6   | Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing of   | conservation easements during the year  |
|     | <b>&gt;</b>   |   |
| 7   | Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing co   | nservation easements during the year    |
| _   | \$  | 4 ) ( ) ( - ) ( )                       |
| 8   | Does each conservation easement reported on line 2(d) above satisfy the requirements of section   |   |
| _   | and section 170(h)(4)(B)(ii)?   |   |
| 9   | In Part XIII, describe how the organization reports conservation easements in its revenue and balance sheet, and include, if applicable, the text of the footnote to the organization's financial                           |   |
|     | organization's accounting for conservation easements.   | ai statements that describes the        |
| Pa  | rt III Organizations Maintaining Collections of Art, Historical Treasures, or Other   | Similar Assets                          |
|     | Complete if the organization answered "Yes" on Form 990, Part IV, line 8.   | ommar 7,000to.                          |
| 1a  |   | statement and halance sheet works       |
| ıa  | If the organization elected, as permitted under FASB ASC 958, not to report in its revenue of art, historical treasures, or other similar assets held for public exhibition, education,                                     | or research in furtherance of public    |
|     | service, provide in Part XIII the text of the footnote to its financial statements that describes the   | ese items.                              |
| b   | If the organization elected, as permitted under FASB ASC 958, to report in its revenue standard biotectical transports and particles are standard for public publication and particles are standard for public publication. |   |
|     | art, historical treasures, or other similar assets held for public exhibition, education, or rese provide the following amounts relating to these items:  | earch in furtherance of public service, |
|     | (i) Revenue included on Form 990, Part VIII, line 1   | <b>&gt;</b> \$                          |
|     | (ii) Assets included in Form 990, Part X  |   |
| 2   | If the organization received or held works of art, historical treasures, or other similar a   |   |
| -   | following amounts required to be reported under FASB ASC 958 relating to these items:   | de la manda gam, provide me             |
| а   | Revenue included on Form 990, Part VIII, line 1   | <b>⊳</b> \$                             |
| b   | Assets included in Form 990, Part X   |   |

Schedule D (Form 990) 2019

Page 2 Schedule D (Form 990) 2019

| Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)  |   |                            |                         |                  |                 |                      |                                |          |       |
|---|---|----------------------------|-------------------------|------------------|-----------------|----------------------|--------------------------------|----------|-------|
| 3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its   |   |                            |                         |                  |                 |                      |                                |          |       |
|   | Illection items (check all that apply):   |                            |                         |                  |                 |                      |                                |          |       |
| а   | Public exhibition   | d Loan or exchange program |                         |                  |                 |                      |                                |          |       |
| b   | Scholarly research  |                            | e Other                 |                  |                 |                      |                                |          |       |
| C   |   |                            |                         |                  |                 |                      |                                |          |       |
| 4   | Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.  |                            |                         |                  |                 |                      |                                |          |       |
| 5   | During the year, did the organization solicit or receive donations of art, historical treasures, or other similar   |                            |                         |                  |                 |                      |                                |          |       |
| 5   |   |                            |                         |                  |                 |                      | Yes                            |          | No    |
| assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No  Part IV Escrow and Custodial Arrangements.  |   |                            |                         |                  |                 |                      |                                |          |       |
| Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.   |   |                            |                         |                  |                 |                      |                                |          |       |
| 1a  | s the organization an agent, trustee, custodian or other intermediary for contributions or other assets not   |                            |                         |                  |                 |                      |                                |          |       |
|   | included on Form 990, Part X? Yes No  |                            |                         |                  |                 |                      |                                |          |       |
| b   | b If "Yes," explain the arrangement in Part XIII and complete the following table:  |                            |                         |                  |                 |                      |                                |          |       |
|   | An  |                            |                         |                  |                 |                      | t                              |          |       |
| С   | 0 0   |                            |                         |                  |                 |                      |                                |          |       |
| d   |   | itions during the year     |                         |                  |                 |                      |                                |          |       |
| е   | Distributions during the year   |                            |                         |                  |                 |                      |                                |          |       |
| f   | Ending balance  |                            |                         |                  | and and all and |                      | V.                             |          | T     |
|   | Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII. |                            |                         |                  |                 |                      |                                |          |       |
|   | t V Endowment Funds.  | II Part Alli. Check he     | ere ii trie explanation | nas been p       | novided         | On Part Alli         |                                |          |       |
| га  | Complete if the organiza  | ation answered "Ye         | s" on Form 990 F        | Part IV line     | 10              |                      |                                |          |       |
|   | Complete ii the organize  | (a) Current year           | (b) Prior year          | (c) Two year     |                 | (d) Three years back | (e) For                        | ır vears | back  |
|   | Danis dan afasa an halasaa  | 2,361,096.                 | 2,361,096.              | 2,361,096.       |                 | 2,361,096.           | (e) Four years back 2,361,096. |          |       |
|   | Beginning of year balance   | _,                         |                         |                  |                 |                      |                                | ,        |       |
|   | Contributions   |                            |                         |                  |                 |                      |                                |          |       |
| C   | Net investment earnings, gains, and losses  | 47,000.                    | 25,821.                 | 35,958.          |                 | 20,103.              | 0,103. 52,566                  |          | ,566. |
| Ы   | Grants or scholarships  |                            |                         |                  |                 |                      |                                |          |       |
|   | Other expenditures for facilities   |                            |                         |                  |                 |                      |                                |          |       |
|   | and programs  | 47,000.                    | 25,821.                 | 35,958.          |                 | 20,103.              | 20,103. 52                     |          | ,566. |
| f   | Administrative expenses   |                            |                         |                  |                 |                      |                                |          |       |
| g   | End of year balance   | 2,361,096.                 | 2,361,096.              | 2,361            | ,096.           | 2,361,096.           | 2,                             | 361,     | 096.  |
| 2<br>a  | Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:  Board designated or quasi-endowment  %   |                            |                         |                  |                 |                      |                                |          |       |
|   | Permanent endowment ▶ 100.0000 %  |                            |                         |                  |                 |                      |                                |          |       |
| С   | Term endowment  %   |                            |                         |                  |                 |                      |                                |          |       |
| _   | The percentages on lines 2a, 2b, and 2c should equal 100%.  Are there endowment funds not in the possession of the organization that are held and administered for the  |                            |                         |                  |                 |                      |                                |          |       |
| за  |   | the possession of th       | ie organization that    | are neid ar      | id admir        | nistered for the     |                                | Yes      | No    |
|   | organization by:  (i) Unrelated organizations   |                            |                         |                  |                 |                      | 3a(i)                          | 103      | X     |
|   | (ii) Related organizations  |                            |                         |                  |                 |                      | 3a(ii)                         |          | X     |
| h   | If "Yes" on line 3a(ii), are the relate   |                            |                         |                  |                 |                      | 3b                             |          |       |
| 4   |   | •                          | •                       |                  |                 |                      | <u> </u>                       |          |       |
| 4 Describe in Part XIII the intended uses of the organization's endowment funds.  Part VI  Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. |   |                            |                         |                  |                 |                      |                                |          |       |
|   | Description of property (a) Cost or other basis (b) Cost or other basis (c) Accumulated (d) Book value  |                            |                         |                  |                 |                      |                                |          |       |
| 12  | Land  | (invest                    | interit) (0             | ther)<br>38,787. | depr            | eciation             | 38,787.                        |          |       |
| та<br>b   | Buildings   |                            | 56.2                    | 08,926.          | 34.7            | 80,712.              | 21,428,214.                    |          |       |
| L<br>D  | Leasehold improvements  |                            |                         | 15,026.          |                 | 97,511.              | 1,917,515.                     |          |       |
| d   | Equipment   |                            |                         | 38,281.          |                 | 24,793.              | 2,413,488.                     |          |       |
|   | Other   |                            |                         | 86,682.          |                 | 53,163.              | 133,519.                       |          |       |
|   |   |                            |                         |                  |                 |                      |                                | 31,5     |       |

Schedule D (Form 990) 2019 Page 3

| Part VII Investments - Other Securities. Complete if the organization answered                              | N "Voc" on Form 00 | 0. Part IV line 11h. See Form 000. P      | art V line 12   |
|---|--------------------|---|-----------------|
| (a) Description of security or category   | (b) Book value     | (c) Method of valuation                   |                 |
| (including name of security)  | (b) Book value     | Cost or end-of-year market                |                 |
| (1) Financial derivatives   |                    |   |                 |
| (2) Closely held equity interests   |                    |   |                 |
| (3) Other   |                    |   |                 |
| (A)   |                    |   |                 |
| (B)   |                    |   |                 |
| (C)   |                    |   |                 |
| (D)   |                    |   |                 |
| (E)   |                    |   |                 |
| (F)   |                    |   |                 |
| (G)<br>(H)  |                    |   |                 |
|   |                    |   |                 |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) Part VIII Investments - Program Related. |                    |   |                 |
| Complete if the organization answered   | d "Yes" on Form 99 | 0, Part IV, line 11c. See Form 990, P     | art X, line 13. |
| (a) Description of investment   | (b) Book value     | (c) Method of valuation                   | :               |
|   |                    | Cost or end-of-year market                | value           |
| (1)   |                    |   |                 |
| (2)   |                    |   |                 |
| (3)   |                    |   |                 |
| (4)   |                    |   |                 |
| (5)   |                    |   |                 |
| (6)   |                    |   |                 |
| (7)   |                    |   |                 |
| (8)   |                    |   |                 |
| (9)   |                    |   |                 |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.)  |                    |   |                 |
| Part IX Other Assets.  Complete if the organization answered  | 1 "Yes" on Form 99 | 0 Part IV line 11d See Form 990 P         | art X line 15   |
|   | escription         | 0,1 art 17, iiile 11d. dee 1 diiii 330, 1 | (b) Book value  |
| (1)   | John Pilon         |   | (b) Book value  |
| (2)   |                    |   |                 |
| (3)   |                    |   |                 |
| (4)   |                    |   |                 |
| (5)   |                    |   |                 |
| (6)   |                    |   |                 |
| (7)   |                    |   |                 |
| (8)   |                    |   |                 |
| (9)   |                    |   |                 |
| Total. (Column (b) must equal Form 990, Part X, col. (B)  | line 15.)          |   |                 |
| Part X Other Liabilities.   |                    |   |                 |
| Complete if the organization answered   | d "Yes" on Form 99 | 0, Part IV, line 11e or 11f. See Form     | 990, Part X,    |
| line 25.  |                    |   |                 |
|   | otion of liability |   | (b) Book value  |
| (1) Federal income taxes  |                    |   | 1 010 053       |
| (2) DUE TO GOVERNMENT AGENCIES  |                    |   | 4,842,853.      |
| (3) DUE TO EDWIN GOULD (A RELATED<br>(4) 501(C)(3) ORG.)  |                    |   | 2,513,773.      |
|   |                    |   | 2,400,000.      |
|   |                    |   | 31,044.         |
|   |                    |   | 31,044.         |
| <u>(7)</u><br>(8)   |                    |   |                 |
| (9)   |                    |   |                 |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)  |                    | <b>N</b>                                  | 9,787,670.      |
| - Juli Column (b) mast equal Form 550, Fatt A, Col. (b) line 23.)   |                    |   | 2,101,010.      |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

Schedule D (Form 990) 2019

Χ

Schedule D (Form 990) 2019 Page 4

| Part XI  | Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.  | n.                           |
|--|---|------------------------------|
| <ul><li>2 Am</li><li>a Ne</li><li>b Do</li><li>c Re</li></ul>                        | tal revenue, gains, and other support per audited financial statements  | 1                            |
| e Add<br>3 Sul<br>4 Am   | d lines 2a through 2d   | 2e<br>3                      |
| <b>c</b> Add   | ner (Describe in Part XIII.)  | 4c<br>5<br>Jrn.              |
| <ul> <li>2 Am</li> <li>a Do</li> <li>b Prid</li> <li>c Oth</li> <li>d Oth</li> </ul> | Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.  cal expenses and losses per audited financial statements   | 1<br>2e                      |
| <ul><li>3 Sull</li><li>4 Am</li><li>a Inv</li><li>b Oth</li><li>c Add</li></ul>      | btract line 2e from line 1  dounts included on Form 990, Part IX, line 25, but not on line 1: estment expenses not included on Form 990, Part VIII, line 7b  dounts included on Form 990, Part VIII, line 7b  dounts (Describe in Part XIII.)  dounts 4a and 4b  dounts 4a and 4b  datal expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) | 4c 5                         |
| Part XIII Provide the  | <b>Supplemental Information.</b> e descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Flines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional inform  | Part V, line 4; Part X, line |
|  |   |                              |
|  |   |                              |
|  |   |                              |
|  |   |                              |
|  |   |                              |

Schedule D (Form 990) 2019 RISING GROUND, INC. 13-1860451 Page **5** 

#### Part XIII Supplemental Information (continued)

PART V, LINE 4:

THE OBJECTIVE OF RISING GROUND'S ENDOWMENT IS TO GENERATE REVENUES TO SUPPORT PROGRAMS WHILE MAINTAINING THE PRINCIPAL ENDOWMENT FUNDS AT THE ORIGINAL AMOUNT DESIGNATED BY THE DONOR. THE INVESTMENT POLICY TO ACHIEVE THIS OBJECTIVE IS TO INVEST IN LOW-RISK SECURITIES.

#### PART X, LINE 2:

RISING GROUND, INC. HAS NOT TAKEN AN UNSUBSTANTIATED TAX POSITION THAT
WOULD REQUIRE PROVISION OF A LIABILITY UNDER U.S. GAAP. UNDER U.S. GAAP,
AN ORGANIZATION MUST RECOGNIZE THE TAX LIABILITIES ASSOCIATED WITH TAX
POSITIONS TAKEN FOR TAX RETURN PURPOSES WHEN IT IS MORE LIKELY THAN NOT
THAT THE POSITION WILL NOT BE SUSTAINED UPON EXAMINATION. THE
ORGANIZATION DOES NOT BELIEVE THERE ARE ANY MATERIAL UNCERTAIN TAX
POSITIONS AND, ACCORDINGLY, HAS NOT RECOGNIZED ANY LIABILITY FOR
UNRECOGNIZED TAX BENEFITS AS OF JUNE 30, 2020. THE ORGANIZATION HAS FILED
IRS FORM 990 TAX RETURNS, AS REQUIRED, AND ALL OTHER APPLICABLE RETURNS
IN JURISDICTIONS WHERE IT IS REQUIRED. FOR THE YEAR ENDED JUNE 30, 2020,
THERE WERE NO INTEREST OR PENALTIES RECORDED OR INCLUDED IN THE
ACCOMPANYING FINANCIAL STATEMENTS. THE ORGANIZATION IS SUBJECT TO ROUTINE
AUDITS BY TAXING AUTHORITIES. AS OF JUNE 30, 2020, THE ORGANIZATION WAS
NOT SUBJECT TO ANY EXAMINATION BY A TAXING AUTHORITY.

### **SCHEDULE G** (Form 990 or 990-EZ)

#### **Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public

Department of the Treasury Internal Revenue Service

Inspection

|                     | e organization  |  |             |                                      |                                   | Employer identification  | on number   |  |  |  |
|---------------------|---|--|-------------|--------------------------------------|-----------------------------------|--|---|--|--|--|
| RISING              | GROUND, INC.  |  |             |                                      |                                   | 13-1860451   |   |  |  |  |
| Part I              | Fundraising Activities. Comp  |  |             |                                      | Yes" on Form 99                   | 90, Part IV, line 1  | 7.  |  |  |  |
|                     | Form 990-EZ filers are not re   | quired to comple                             | ete this pa | ırt.                                 |                                   |  |   |  |  |  |
| <b>1</b> Inc        | dicate whether the organization rais  | sed funds through                            | any of the  | following                            | activities. Check a               | all that apply.  |   |  |  |  |
| a                   | Mail solicitations  | е  | Solid       | citation of i                        | non-government g                  | <sub>j</sub> rants   |   |  |  |  |
| b                   | Internet and email solicitations  | f  | Solid       | citation of                          | government grant                  | = -  |   |  |  |  |
| С                   | Phone solicitations   | g  |             |                                      | ising events                      |  |   |  |  |  |
| d _                 | In-person solicitations   | •  |             |                                      | 3                                 |  |   |  |  |  |
| or<br><b>b</b> If ' | d the organization have a written o<br>key employees listed in Form 990<br>"Yes," list the 10 highest paid indi-<br>mpensated at least \$5,000 by the | , Part VII) or entity<br>viduals or entities | y in connec | tion with p                          | rofessional fundra                | ising services?  | Yes No fundraiser is to be                              |  |  |  |
|                     | (i) Name and address of individual or entity (fundraiser)   | (ii) Activity                                | custody o   | ndraiser have or control of outions? | (iv) Gross receipts from activity | (v) Amount paid to<br>(or retained by)<br>fundraiser listed in<br>col. (i) | (vi) Amount paid to<br>(or retained by)<br>organization |  |  |  |
|                     |   |  | Yes         | No                                   |                                   | coi. (i)   |   |  |  |  |
| 1                   |   |  | 103         | 140                                  |                                   |  |   |  |  |  |
| 2                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 3                   |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
| 4                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 5                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 6                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 7                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 8                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 9                   |   |  |             |                                      |                                   |  |   |  |  |  |
| 10                  |   |  |             |                                      |                                   |  |   |  |  |  |
| Total               |   |  |             |                                      |                                   |  |   |  |  |  |
| 3 Lis               | st all states in which the organizar<br>gistration or licensing.  | tion is registered                           | or licensed | d to solicit                         | contributions or                  | has been notified  | it is exempt from                                       |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |
|                     |   |  |             |                                      |                                   |  |   |  |  |  |

Page 2 Schedule G (Form 990 or 990-EZ) 2019

| Pa              | rt | Fundraising Events. Complete more than \$15,000 of fundra events with gross receipts green      | aising event contribut   |  |                     |  |
|-----------------|----|---|--------------------------|--|---------------------|--|
|                 |    | <u> </u>  | (a) Event #1             | <b>(b)</b> Event #2                                  | (c) Other events    | (d) Total events<br>(add col. (a) through        |
| a)              |    |   | (event type)             | (event type)   | (total number)      | col. <b>(c)</b> )                                |
| Revenue         | 1  | Gross receipts  | 380,919.                 |  |                     | 380,919.   |
| ď               |    | Less: Contributions   | 176,919.                 |  |                     | 176,919.   |
|                 | 3  | Gross income (line 1 minus line 2)  | 204,000.                 |  |                     | 204,000.   |
|                 | 4  | Cash prizes   |                          |  |                     |  |
|                 | 5  | Noncash prizes  |                          |  |                     |  |
| Direct Expenses | 6  | Rent/facility costs   | 58,063.                  |  |                     | 58,063.  |
| t Expe          | 7  | Food and beverages  |                          |  |                     |  |
| Direct          | 8  | Entertainment   | 21,440.                  |  |                     | 21,440.  |
|                 | 9  | Other direct expenses   | 10,433.                  |  |                     | 10,433.  |
|                 | 10 | Direct expense summary. Add lin<br>Net income summary. Subtract li                              | es 4 through 9 in colu   | mn (d)   |                     | 89,936.<br>114,064.                              |
|                 | rt |   | anization answered "     |  |                     |  |
| Revenue         |    | *,  | (a) Bingo                | <b>(b)</b> Pull tabs/instant bingo/progressive bingo | (c) Other gaming    | (d) Total gaming (add col. (a) through col. (c)) |
| <u>~</u>        | 1  | Gross revenue   |                          |  |                     |  |
| ses             | 2  | Cash prizes   |                          |  |                     |  |
| Direct Expenses | 3  | Noncash prizes  |                          |  |                     |  |
| irect F         | 4  | Rent/facility costs   |                          |  |                     |  |
|                 | 5  | Other direct expenses   |                          |  |                     |  |
|                 | 6  | Volunteer labor   | Yes % No                 | Yes% No  | Yes% No             |  |
|                 | 7  | Direct expense summary. Add lin   | es 2 through 5 in colu   | mn (d)   | <b>&gt;</b>         |  |
|                 | 8  | Net gaming income summary. Su   | ubtract line 7 from line | 1, column (d)  | <u></u>             |  |
| 9<br>8          |    | Enter the state(s) in which the org<br>Is the organization licensed to con<br>If "No," explain: |                          | in each of these state                               | es?                 | Yes No   |
| 10a             |    | Were any of the organization's gaming If "Yes," explain:  | g licenses revoked, susp |  | uring the tax year? | Yes No   |

| Sched      | ule G (Form 990 or 990-EZ) 2019   |
|------------|---|
| 11         | Does the organization conduct gaming activities with nonmembers?  |
| 12         | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity   |
|            | formed to administer charitable gaming?   |
| 13         | Indicate the percentage of gaming activity conducted in:  |
| а          | The organization's facility   |
| b          | An outside facility   |
| 14         | Enter the name and address of the person who prepares the organization's gaming/special events books and  |
|            | records:  |
|            | Name ▶  |
|            | Address ►   |
| 15 a       | Does the organization have a contract with a third party from whom the organization receives gaming   |
| . <b>.</b> | revenue?  |
| b          | If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the  |
|            | amount of gaming revenue retained by the third party ▶ \$   |
| С          | If "Yes," enter name and address of the third party:  |
|            | Name ▶  |
|            | Address ▶   |
| 16         | Gaming manager information:   |
|            | Name ▶  |
|            | Gaming manager compensation ▶ \$  |
|            | Description of services provided ►  |
|            | Director/officer  |
| 17         | Mandatory distributions:  |
| а          | Is the organization required under state law to make charitable distributions from the gaming proceeds to   |
|            | retain the state gaming license?  |
| b          | Enter the amount of distributions required under state law to be distributed to other exempt organizations  |
|            | or spent in the organization's own exempt activities during the tax year ▶ \$   |
| Par        | Supplemental Information. Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions). |
|            |   |

Schedule G (Form 990 or 990-EZ) 2019

### SCHEDULE I (Form 990)

# **Grants and Other Assistance to Organizations, Governments, and Individuals in the United States**

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

2019

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service ► Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

Name of the organization **Employer identification number** RISING GROUND, INC. 13-1860451 **General Information on Grants and Assistance** 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed. (f) Method of valuation (book, FMV, appraisal, other) 1 (a) Name and address of organization (c) IRC section (d) Amount of cash (e) Amount of non-(a) Description of (b) EIN (h) Purpose of grant (if applicable) or government grant cash assistance noncash assistance or assistance (1) (2) (3) (4) (5) (6) (7) (8) (9) (10)(11) (12)

JSA

E1288 1 000

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2019)

RISING GROUND, INC.

Schedule I (Form 990) (2019)

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance        | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|--|--------------------------|--------------------------|-----------------------------------|---|--|
|  |                          |                          |                                   |   |  |
| 1 PAYMENTS TO FOSTER PARENTS           | 456.                     | 4,799,742.               |                                   |   |  |
| 2 CHILDREN'S ALLOWANCES AND ACTIVITIES | 3,136.                   | 2,456,626.               |                                   |   |  |
| 2 CHILDREN'S ALLOWANCES AND ACTIVITIES | 3,136.                   | 2,450,626.               |                                   |   |  |
| <b>3</b> FOOD                          | 1,584.                   |                          | 1,185,223.                        | BOOK VALUE  | FOOD FOR FAMILIES                      |
| 4 CLOTHING                             | 1,584.                   |                          | 224,413.                          | BOOK VALUE  | CLOTHES FOR FAMILIES                   |
| 5 CONSUMER INCIDENTALS                 | 1,584.                   | 52,141.                  |                                   |   |  |
| 6                                      |                          |                          |                                   |   |  |
| -                                      |                          |                          |                                   |   |  |
| 7                                      |                          |                          |                                   |   |  |

Part IV Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

PART I, LINE 2:

THE USE OF FUNDS IS MONITORED VIA MONTHLY HOME VISITS BY THE ASSIGNED

SOCIAL WORKERS.

### **SCHEDULE J** (Form 990)

**Compensation Information**For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees** 

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23. Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public

Inspection

Department of the Treasury Internal Revenue Service Name of the organization

RISING GROUND, INC.

Employer identification number 13-1860451

| Part             | Questions Regarding Compensation  |                |     |             |
|------------------|---|----------------|-----|-------------|
|                  |   |                | Yes | No          |
| 1a               | Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.   |                |     |             |
|                  | First-class or charter travel  Housing allowance or residence for personal use  |                |     |             |
|                  | Travel for companions Payments for business use of personal residence   |                |     |             |
|                  | Tax indemnification and gross-up payments Health or social club dues or initiation fees   |                |     |             |
|                  | Discretionary spending account Personal services (such as maid, chauffeur, chef)  |                |     |             |
| b                | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to  |                |     |             |
|                  | explain   | 1b             |     |             |
| 2                | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all   |                |     |             |
|                  | directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line  |                |     |             |
|                  | 1a?   | 2              |     |             |
| 3                | Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.  X Compensation committee  Written employment contract Independent compensation consultant  Compensation survey or study |                |     |             |
|                  | X Form 990 of other organizations X Approval by the board or compensation committee   |                |     |             |
| 4<br>a<br>b<br>c | During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:  Receive a severance payment or change-of-control payment?   | 4a<br>4b<br>4c |     | X<br>X<br>X |
| 5                | Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.  For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:  |                |     |             |
| а                | The organization?   | 5a             |     | Х           |
| b                | Any related organization?   | 5b             |     | Х           |
|                  | If "Yes" on line 5a or 5b, describe in Part III.  |                |     |             |
| 6                | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:  |                |     |             |
| а                | The organization?   | 6a             |     | X           |
| b                | Any related organization?   | 6b             |     | X           |
|                  | If "Yes" on line 6a or 6b, describe in Part III.  |                |     |             |
| 7                | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.  | 7              |     | Х           |
| 8                | Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject  |                |     |             |
|                  | to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe  |                |     |             |
|                  | in Part III   | 8              |     | Х           |
| 9                | If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?  | 9              |     |             |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2019

RISING GROUND, INC.

Schedule J (Form 990) 2019

#### Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

|                                      |      | (B) Breakdown of         | W-2 and/or 1099-MIS                 | SC compensation                     | (C) Retirement and          | (D) Nontaxable | (E) Total of columns | (F) Compensation   |
|--------------------------------------|------|--------------------------|-------------------------------------|-------------------------------------|-----------------------------|----------------|----------------------|--|
| (A) Name and Title                   |      | (i) Base<br>compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | other deferred compensation | benefits       | (B)(i)-(D)           | in column (B) reported<br>as deferred on prior<br>Form 990 |
| ALAN E. MUCATEL                      | (i)  | 399,301.                 | 0.                                  | 0.                                  | 11,483.                     | 14,900.        | 425,684.             | 0.   |
| 1 <sup>CHIEF</sup> EXECUTIVE OFFICER | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| ELLIOT HAGLER                        | (i)  | 250,000.                 | 0.                                  | 0.                                  | 0.                          | 7,909.         | 257,909.             | 0.   |
| 2CHIEF FINANCIAL OFFICER             | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| ELISE S. ZEALAND                     | (i)  | 202,000.                 | 0.                                  | 0.                                  | 7,500.                      | 13,836.        | 223,336.             | 0.   |
| 3 GENERAL COUNSEL                    | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| BELINDA M. CONWAY                    | (i)  | 195,855.                 | 0.                                  | 0.                                  | 7,643.                      | 14,805.        | 218,303.             | 0.   |
| 4 EXECUTIVE VICE PRESIDENT           | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| SHARRON MADDEN                       | (i)  | 191,539.                 | 0.                                  | 0.                                  | 5,538.                      | 10,746.        | 207,823.             | 0.   |
| 5EXECUTIVE VICE PRESIDENT            | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| ANGELA RUTH WHITE                    | (i)  | 172,308.                 | 0.                                  | 0.                                  | 4,217.                      | 226.           | 176,751.             | 0.   |
| 6EXECUTIVE VICE PRESIDENT            | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| SUSAN SAMPOGNA                       | (i)  | 167,196.                 | 0.                                  | 0.                                  | 3,425.                      | 18,424.        | 189,045.             | 0.   |
| 7EXECUTIVE VICE PRESIDENT            | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| JACQUELINE CALLEJAS                  | (i)  | 174,993.                 | 0.                                  | 0.                                  | 3,500.                      | 10,537.        | 189,030.             | 0.   |
| 8PSYCHIATRIST                        | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| MARSHA AUSTIN                        | (i)  | 239,982.                 | 0.                                  | 0.                                  | 11,215.                     | 10,361.        | 261,558.             | 0.   |
| <b>9</b> PSYCHIATRIST                | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| CARLTON MITCHELL                     | (i)  | 184,577.                 | 0.                                  | 0.                                  | 3,650.                      | 10,537.        | 198,764.             | 0.   |
| 10 SENIOR VICE PRESIDENT             | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| YEZID G. ACOSTA                      | (i)  | 170,000.                 | 0.                                  | 0.                                  | 3,422.                      | 21,421.        | 194,843.             | 0.   |
| 11CHIEF INFORMATION OFFICER          | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
| PAUL J. MARTIN                       | (i)  | 168,940.                 | 0.                                  | 0.                                  | 6,312.                      | 10,537.        | 185,789.             | 0.   |
| 12 <sup>VICE PRESIDENT</sup>         | (ii) | 0.                       | 0.                                  | 0.                                  | 0.                          | 0.             | 0.                   | 0.   |
|                                      | (i)  |                          |                                     |                                     |                             |                |                      |  |
| _13                                  | (ii) |                          |                                     |                                     |                             |                |                      |  |
|                                      | (i)  |                          |                                     |                                     |                             |                |                      |  |
| 14                                   | (ii) |                          |                                     |                                     |                             |                |                      |  |
|                                      | (i)  |                          |                                     |                                     |                             |                |                      |  |
| 15                                   | (ii) |                          |                                     |                                     |                             |                |                      |  |
|                                      | (i)  |                          |                                     |                                     |                             |                |                      |  |
| 16                                   | (ii) |                          |                                     |                                     |                             |                |                      |  |

RISING GROUND, INC. 13-1860451

Schedule J (Form 990) 2019 Page 3

### Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

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#### **SCHEDULE K** (Form 990)

## **Supplemental Information on Tax-Exempt Bonds**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service Name of the organization

Part I

RISING GROUND, INC.

**Bond Issues** 

Employer identification number 13-1860451

|              | (a) Issuer name  | (a) Issuer name (b) Issuer EIN (c) CUSIP # (d) Date iss |   |            | (e) Iss | sue price | (f) De      | escription of pu            | rpose   | (g) Defeased |    |     | (h) On<br>behalf of<br>issuer |     | (i) Pooled financing |  |
|--------------|--|---|---|------------|---------|-----------|-------------|-----------------------------|---------|--------------|----|-----|-------------------------------|-----|----------------------|--|
|              |  |   |   |            |         |           |             |                             |         | Yes          | No | Yes | No                            | Yes | No                   |  |
| A DOE        | RMITORY AUTHORITY OF THE STATE OF NEW YORK   | 14-6000293  |   | 05/09/2013 | 4       | ,035,000. | REFINANCING | CONSTRUCTIO                 | ON COST |              | х  |     | Х                             |     | Х                    |  |
| <b>B</b> you | NKERS ECONOMIC DEVELOPMENT CORPORATION   | 42-1730564  |   | 06/27/2014 | . 21    | ,173,000. | REFINANCING | FINANCING CONSTRUCTION COST |         |              | х  |     | Х                             |     | х                    |  |
| С            |  |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| D            |  |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| Part         | Il Proceeds  |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
|              |  |   |   |            |         | A         |             | В                           | С       | ;            |    |     | D                             |     |                      |  |
| 1            | Amount of bonds retired  |   |   |            | 1,4     | 40,000    | . 6,6       | 83,000.                     |         |              |    |     |                               |     |                      |  |
| 2            | Amount of bonds legally defeased   |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 3            | Total proceeds of issue  |   |   |            | 4,0     | 35,000    | . 21,1      | 73,000.                     |         |              |    |     |                               |     |                      |  |
| 4            | Gross proceeds in reserve funds  |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 5            | Capitalized interest from proceeds   |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 6            | Proceeds in refunding escrows  |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 7            | Issuance costs from proceeds   |   |   |            | 2       | 56,423    | . 8         | 371,100.                    |         |              |    |     |                               |     |                      |  |
| 8            | Credit enhancement from proceeds   |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 9            | Working capital expenditures from proceeds   |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 10           | Capital expenditures from proceeds   |   |   |            | 3,7     | 78,577    | . 20,3      | 301,900.                    |         |              |    |     |                               |     |                      |  |
| 11           | Other spent proceeds   |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 12           | Other unspent proceeds   |   |   |            |         |           |             |                             |         |              |    |     |                               |     |                      |  |
| 13           | Year of substantial completion   |   |   |            | 201     | 3         | 201         | .4                          |         |              |    |     |                               |     |                      |  |
|              |  |   |   |            | Yes     | No        | Yes         | No                          | Yes     | No           |    | Yes |                               | No  |                      |  |
| 14           | Were the bonds issued as part of a refund if issued prior to 2018, a current refunding issue | •   | • | , ,        |         | X         |             | x                           |         |              |    |     |                               |     |                      |  |
|              | , , , , ,  | ,   | = |            |         |           |             |                             |         |              |    |     | -                             |     | _                    |  |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2019

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Were the bonds issued as part of a refunding issue of taxable bonds (or, if

issued prior to 2018, an advance refunding issue)?.....

Does the organization maintain adequate books and records to support the

RISING GROUND, INC. 13-1860451

Schedule K (Form 990) 2019

| Pa       | rt III Private Business Use   |       |         |     |         |     |    |     |          |
|----------|---|-------|---------|-----|---------|-----|----|-----|----------|
|          |   | A B C |         |     |         |     |    | Г   | D        |
| 1        | Was the organization a partner in a partnership, or a member of an LLC,                   | Yes   | No      | Yes | No      | Yes | No | Yes | No       |
|          | which owned property financed by tax-exempt bonds?  |       | X       |     | X       |     |    |     |          |
| 2        | Are there any lease arrangements that may result in private business use of               |       |         |     |         |     |    |     |          |
|          | bond-financed property?   |       | X       |     | Х       |     |    |     |          |
| 3a       | Are there any management or service contracts that may result in private                  |       |         |     |         |     |    |     |          |
|          | business use of bond-financed property?   |       | X       |     | Х       |     |    |     |          |
| b        | If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside |       |         |     |         |     |    |     |          |
|          | counsel to review any management or service contracts relating to the financed property?  |       |         |     |         |     |    |     |          |
| С        | Are there any research agreements that may result in private business use of              |       |         |     |         |     |    |     |          |
|          | bond-financed property?   |       | X       |     | Х       |     |    |     |          |
| d        | If "Yes" to line 3c, does the organization routinely engage bond counsel or other         |       |         |     |         |     |    |     |          |
|          | outside counsel to review any research agreements relating to the financed property?      |       |         |     |         |     |    |     |          |
| 4        | Enter the percentage of financed property used in a private business use by entities      |       |         |     |         |     |    |     |          |
|          | other than a section 501(c)(3) organization or a state or local government ▶              |       | %       |     | %       |     | %  |     | <u>%</u> |
| 5        | Enter the percentage of financed property used in a private business use as a             |       |         |     |         |     |    |     |          |
|          | result of unrelated trade or business activity carried on by your organization,           |       |         |     |         |     |    |     |          |
|          | another section 501(c)(3) organization, or a state or local government                    |       | %       |     | %       |     | %  |     | <u>%</u> |
| _6_      | Total of lines 4 and 5  |       | %       |     | %       |     | %  |     | %        |
| _7_      | Does the bond issue meet the private security or payment test?                            |       | X       |     | X       |     |    |     |          |
| 8a       | Has there been a sale or disposition of any of the bond-financed property to a            |       |         |     |         |     |    |     |          |
|          | nongovernmental person other than a 501(c)(3) organization since the bonds were issued?   |       | X       |     | X       |     |    |     |          |
| b        | If "Yes" to line 8a, enter the percentage of bond-financed property sold or               |       |         |     |         |     |    |     |          |
|          | disposed of   |       | %       |     | %       |     | %  |     | <u>%</u> |
| С        | If "Yes" to line 8a, was any remedial action taken pursuant to Regulations                |       |         |     |         |     |    |     |          |
|          | sections 1.141-12 and 1.145-2?  |       |         |     |         |     |    |     |          |
| 9        | Has the organization established written procedures to ensure that all                    |       |         |     |         |     |    |     |          |
|          | nonqualified bonds of the issue are remediated in accordance with the                     | 37    |         | 37  |         |     |    |     |          |
| Б.       | requirements under Regulations sections 1.141-12 and 1.145-2?                             | X     |         | X   |         |     |    |     |          |
| Pa       | rt IV Arbitrage   |       | •       |     | В       |     | С  |     |          |
| 4        | Lies the issues filed Ferry 2000 T. Arbitrarya Debata World Daduction and                 |       | A       |     |         |     | Ť  |     |          |
| 1        | Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and                   | Yes   | No<br>X | Yes | No<br>X | Yes | No | Yes | No       |
|          | Penalty in Lieu of Arbitrage Rebate?  |       | A       |     | Λ       |     |    |     |          |
|          | If "No" to line 1, did the following apply?   |       | Х       |     | Х       |     |    |     |          |
|          | Rebate not due yet?   |       | X       |     | X       |     |    |     |          |
|          | Exception to rebate?  |       | X       |     | X       |     |    |     |          |
| <u>c</u> | No rebate due?  |       | Δ.      |     | Δ.      |     |    |     |          |
|          | If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed     |       |         |     |         |     |    |     |          |
|          | Is the bond issue a variable rate issue?  |       | X       |     | X       |     |    |     |          |
| J        | IS LITE DUTIN ISSUE A VALIANTE LALE ISSUE!  | 1     | 44      |     | 1 44    |     | 1  |     | 1        |

Schedule K (Form 990) 2019

RISING GROUND, INC. 13-1860451

Schedule K (Form 990) 2019 Page 3

| Рa | rt IV Arbitrage (continued)   |            |            |             |            |       |    |     |    |
|----|---|------------|------------|-------------|------------|-------|----|-----|----|
|    |   |            | A          |             | 3          |       | С  |     | )  |
| 4a | Has the organization or the governmental issuer entered into a qualified                    | Yes        | No         | Yes         | No         | Yes   | No | Yes | No |
|    | hedge with respect to the bond issue?   |            | X          |             | X          |       |    |     |    |
| b  | Name of provider  |            |            |             | -          |       |    |     |    |
| С  | Term of hedge   |            |            |             |            |       |    |     |    |
|    | Was the hedge superintegrated?  |            |            |             |            |       |    |     |    |
|    | Was the hedge terminated?   |            |            |             |            |       |    |     |    |
| 5a | Were gross proceeds invested in a guaranteed investment contract (GIC)?                     |            | Х          |             | Х          |       |    |     |    |
| b  | Name of provider  |            |            |             |            |       |    |     |    |
|    | Term of GIC   |            |            |             |            |       |    |     |    |
| d  | Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? |            |            |             |            |       |    |     |    |
| 6  | Were any gross proceeds invested beyond an available temporary period?                      |            | Х          |             | Х          |       |    |     |    |
|    | Has the organization established written procedures to monitor the                          |            |            |             |            |       |    |     |    |
|    | requirements of section 148?  | X          |            | X           |            |       |    |     |    |
| Pa | rt V Procedures To Undertake Corrective Action  |            | •          | •           | •          | •     | •  |     |    |
|    |   |            | A          | E           | 3          |       | C  |     | )  |
|    | Has the organization established written procedures to ensure that violations               | Yes        | No         | Yes         | No         | Yes   | No | Yes | No |
|    | of federal tax requirements are timely identified and corrected through the                 |            |            |             |            |       |    |     |    |
|    | voluntary closing agreement program if self-remediation isn't available under               |            |            |             |            |       |    |     |    |
|    | applicable regulations?   | X          |            | X           |            |       |    |     |    |
| Pa | rt VI Supplemental Information. Provide additional information for responses to             | o question | ns on Sche | edule K. Se | ee instruc | tions |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |
|    |   |            |            |             |            |       |    |     |    |

Schedule K (Form 990) 2019

JSA 9E1328 1.000 7810PW 702V 5/14/2021 11:36:00 AM V 19-8.4F

13-1860451 RISING GROUND, INC.

Schedule K (Form 990) 2019 Page 4

Part VI Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions) (Continued)

JSA 9E1511 1.000 7810PW 702V 5/14/2021 Schedule K (Form 990) 2019

#### SCHEDULE O (Form 990 or 990-EZ)

#### Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

RISING GROUND,

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Employer identification number 13-1860451

FORM 990, PART III, LINE 4D:

TNC.

OTHER PROGRAM SERVICES - OUR JUVENILE JUSTICE SERVICES INCLUDE BOTH SECURE AND NON-SECURE PLACEMENT PROGRAMS FOR COURT-INVOLVED YOUTH, BOTH OF WHICH ARE BASED ON THE BELIEF THAT YOUNG PEOPLE WITH HISTORIES OF DELINQUENCY NEED SUPPORT, EDUCATION AND OTHER TOOLS TO RETURN SAFELY AND SUCCESSFULLY TO THEIR COMMUNITIES AND BREAK THE CYCLE OF DELINQUENCY. OUR LIMITED SECURE PLACEMENT (LSP) IS ALSO PART OF THE RESIDENTIAL CARE CONTINUUM FOR ADJUDICATED JUVENILE DELINQUENTS IN NEW YORK CITY. MOST YOUTH SERVED WILL RANGE FROM 14 TO 18 YEARS OF AGE BUT, ON OCCASION, AN OLDER OR YOUNGER YOUTH MIGHT BE SERVED. WE ENSURE THAT YOUTH ARE ABLE TO DEVELOP THEIR ACADEMIC, PRE-VOCATIONAL AND COMMUNICATIONS SKILLS THROUGH ASPECTS OF THE PROGRAM AND WORK WITH FAMILY MEMBERS TO MAINTAIN AND STRENGTHEN THE YOUTH'S CONNECTION TO HIS OR HER FAMILY AND COMMUNITY. OUR NON-SECURE PLACEMENT PROGRAM IS A RESIDENTIAL PROGRAM SERVING ADJUDICATED YOUTH AGES 12 TO 15. OUR PROGRAM IS COMMUNITY-ORIENTED AND FAMILY-FOCUSED, USING THE NATIONALLY RECOGNIZED MISSOURI MODEL, A TREATMENT METHOD THAT INVOLVES GROUPING YOUTH INTO SMALL COHORTS OF 10-12 WITH WHOM THEY LIVE, ATTEND SCHOOL, PARTICIPATE IN RECREATIONAL ACTIVITIES AND RECEIVE COUNSELING. THE FAMILY RESPITE PROGRAM SERVES YOUTH AGES 7 TO 17 WHO ARE AT RISK OF CONTACT WITH THE JUVENILE JUSTICE SYSTEM BY PROVIDING RESPITE AND THERAPEUTIC SERVICES FOR UP TO 21 DAYS. THE PROGRAM STAFF ALSO REFERS FAMILIES TO APPROPRIATE COMMUNITY BASED SERVICES FOR SUSTAINED ASSISTANCE. OUR FAMILY RESOURCE CENTER PROVIDES CRITICAL PARENTING EDUCATION AND SUPPORT FOR LOW-INCOME FAMILIES IN ONE

13-1860451

RISING GROUND, INC.

Name of the organization Employer identification number

CENTRAL COMMUNITY-BASED LOCATION. THE JUVENILE JUSTICE INITIATIVE AND AFTERCARE PROGRAM EXPANDS OUR IMPLEMENTATION OF THE FUNCTIONAL FAMILY THERAPY EVIDENCED-BASED MODEL IN THE JUVENILE JUSTICE FIELD. OUR CARE COORDINATION PROGRAM ENABLES RISING GROUND TO SERVE AS A DOWNSTREAM CARE MANAGEMENT AGENCY (CMA) PROVIDING COMPREHENSIVE CARE MANAGEMENT SERVICES TO CHILDREN AND ADULTS WHO MEET NECESSARY CRITERIA. OUR EARLY CHILDHOOD CENTERS PROVIDE SERVICES TO CHILDREN AGES 18 MONTHS TO 5 YEARS. LOCATED IN THE BRONX, OUR FEDERALLY-FUNDED HEAD START PROGRAM SERVES CHILDREN 3 TO 5 YEARS OF AGE AND SEABURY DAY CARE CENTER PROVIDES EARLY EDUCATION SERVICES TO LOW INCOME WORKING FAMILIES. THE BROWNELL PRESCHOOL AND AMES EARLY CHILDHOOD CENTER SERVE BOTH TYPICALLY DEVELOPING CHILDREN AND THOSE IN NEED OF PRESCHOOL SPECIAL EDUCATION SERVICES IN BOTH INTEGRATED AND SEGREGATED CLASSROOM SETTINGS. THE CHILDREN'S LEARNING CENTER (CLC) PROVIDES PRESCHOOL SPECIAL EDUCATION SERVICES. THE BROWNELL PRESCHOOL AND AMES EARLY CHILDHOOD CENTER SERVE BOTH TYPICALLY DEVELOPING CHILDREN AND THOSE IN NEED OF PRESCHOOL SPECIAL EDUCATION SERVICES IN BOTH INTEGRATED AND SEGREGATED CLASSROOM SETTINGS. OUR OTHER PREVENTIVE SERVICE PROGRAM: STEPS TO END VIOLENCE IS A HOLISTIC PROGRAM OF SERVICES FOR VICTIMS OF GENDER-BASED VIOLENCE WITH A FOCUS ON PREVENTION, INTERVENTION, AND POLICY ADVOCACY. THE ORGANIZATION SERVED 663 CLIENTS DURING FISCAL YEAR 2020.

FORM 990, PART VI, SECTION B, LINE 11B:

A COMPLETED FORM 990 IS REVIEWED BY THE MEMBERS OF THE AUDIT AND
OVERSIGHT BOARD COMMITTEE. IT IS THEN SENT TO ALL OTHER BOARD MEMBERS FOR
THEIR APPROVAL. BEFORE FORM 990 IS SUBMITTED TO THE IRS AND NYS, ANY

Name of the organization

RISING GROUND, INC.

Employer identification number

13-1860451

ISSUES ARE DISCUSSED WITH THE CFO AND THEN BROUGHT TO THE CPA FIRM WHO PREPARED THE RETURN, IF THE ISSUES REMAINED UNRESOLVED.

FORM 990, PART VI, SECTION B, LINE 12C:

THE CONFLICT OF INTEREST POLICY REQUIRES BOARD MEMBERS AND KEY STAFF TO

COMPLETE AN ANNUAL DISCLOSURE AGREEMENT. IF ANY CONFLICTS ARE FOUND, THE

RELATED INDIVIDUAL IS EXCLUDED FROM THE DISCUSSION AND THE VOTING

PROCESS.

FORM 990, PART VI, SECTION B, LINE 15A

THE COMPENSATION OF THE CEO INCLUDES AN ANNUAL PERFORMANCE REVIEW AND

APPROVAL PROCESS CONDUCTED BY BOARD'S MANAGEMENT COMMITTEE, WHICH REPORTS

TO THE BOARD. THIS WAS LAST DONE IN JANUARY 2019, SUBSEQUENT TO YEAR END

THIS WAS DONE IN JANUARY 2020.

FORM 990, PART VI, SECTION C, LINE 19:

THE ORGANIZATION'S AUDITED FINANCIAL STATEMENTS AND FORM 990'S ARE

AVAILABLE ON ITS WEBSITE AND UPON REQUEST. THE ORGANIZATION MAKES ITS

GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY AVAILABLE TO THE

PUBLIC UPON REQUEST.

FORM 990, PART VIII, LINE 11A AND PART X, LINE 27:

ON JUNE 23, 2020 RISING GROUND ESTABLISHED AN EMPLOYEE BENEFITS PROGRAM

REVOCABLE TRUST TO PAY THE COSTS OF TWO WELFARE BENEFITS PLANS. AS A

GRANTOR TRUST, OTHER INCOME OF \$1,128,000 IS INCLUDED ON THE ACCOMPANYING

FORM 990. IN ADDITION, NET ASSETS AT JUNE 30, 2020 INCLUDES \$1,128,000 ON

THE ACCOMPANYING FORM 990.

Name of the organization

RISING GROUND, INC.

Employer identification number

13-1860451

FORM 990, PART XI, LINE 9:

CONTRIBUTION OF NET ASSETS FROM EDWIN GOULD

SERVICES FOR CHILDREN AND FAMILIES, INC.

(A RELATED 501(C)(3) ORGANIZATION).....\$1,832,720.

ATTACHMENT 1

#### FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

RISING GROUND IS DEDICATED TO SUPPORTING CHILDREN, ADULTS, AND FAMILIES. WORKING TOGETHER, WE CREATE STRONG FOUNDATIONS FOR SUCCESS.

WE DO THIS BY PROVIDING THE MOST VULNERABLE CHILDREN, ADULTS AND FAMILIES IN THE GREATER NEW YORK CITY AREA WITH RESOURCES AND SKILLS NEEDED TO RISE ABOVE ADVERSITY AND POSITIVELY DIRECT THEIR LIVES. OUR INNOVATIVE AND RESULTS-DRIVEN PROGRAMS SUPPORT INDIVIDUALS IN THE AREAS OF EDUCATION, FAMILY SUPPORT, FOSTER CARE, SERVICES FOR INDIVIDUALS WITH INTELLECTUAL AND DEVELOPMENTAL DISABILITIES, AND JUVENILE JUSTICE.

ATTACHMENT 2

#### FORM 990, PART III - PROGRAM SERVICE, LINE 4A

CHILD WELFARE - CHILD WELFARE PROGRAMS AND SERVICES INCLUDE

RESIDENTIAL TREATMENT CENTER (RTC), FOSTER BOARDING HOME (FBH),

MULTI-DIMENTIONAL TREATMENT FOSTER CARE (MTFC), MOTHER/INFANT

RESIDENCES (M/I), PREVENTIVE SERVICES PROGRAMS, MEDICAL AND MENTAL

HEALTH SERVICES, AND UNACCOMPANIED MIGRANT CHILDREN (UMC) PROGRAM.

THE RTC PROVIDES 24-HOUR RESIDENTIAL CARE TO YOUTH AGES 12-21. THE

FBH PROGRAM SERVES CHILDREN WHO HAVE EXPERIENCED ABUSE AND

Name of the organization Employer identification number RISING GROUND, INC. 13-1860451

ATTACHMENT 2 (CONT'D)

NEGLECT. OUR EVIDENCED-BASED MTFC SERVES TEENS IN FOSTER CARE. THE M/I PROGRAM IN THE BRONX SERVES TEEN MOTHERS ALONG WITH THEIR YOUNG CHILDREN. THE PREVENTIVE SERVICES PROGRAMS STRENGTHEN AND PRESERVE FAMILIES AND KEEP CHILDREN SAFE BY PREVENTING CHILD ABUSE AND NEGLECT. HEALTH SERVICES PROVIDES PRIMARY MEDICAL CARE FOR CHILDREN AND YOUTH ON OUR CAMPUS. OUR ACCOMPANIED MIGRANT CHILDREN (UMC) PROGRAM PROVIDES SHORT-TERM RESIDENTIAL CARE AND ASSISTANCE TO UNACCOMPANIED MIGRANT CHILDREN WHO HAVE COME INTO THE UNITED STATES FROM OTHER COUNTRIES WITHOUT AN ADULT GUARDIAN. OUR PARENT CHILD HOME IS AN EVIDENCED-INFORMED, EARLY CHILDHOOD HOME-VISITING PROGRAM WHICH FOCUSES ON DEVELOPING PRE-LITERACY SKILLS TO PROMOTE SCHOOL READINESS AND PROMOTING POSITIVE PARENTING SKILLS THROUGH TWICE WEEKLY HOME VISITS.PROGRAM WHICH FOCUSES ON DEVELOPING PRE-LITERACY SKILLS TO PROMOTE SCHOOL READINESS AND PROMOTING POSITIVE PARENTING SKILLS THROUGH TWICE WEEKLY HOME VISITS. THE ORGANIZATION SERVED 1,069 CLIENTS DURING FISCAL YEAR 2020.

#### ATTACHMENT 3

| 990, | PART VII- | COMPENSATION | OF | THE | FIVE | HIGHEST | PAID | IND. | CONTRACTORS |
|------|-----------|--------------|----|-----|------|---------|------|------|-------------|
|------|-----------|--------------|----|-----|------|---------|------|------|-------------|

| NAME AND ADDRESS  | DESCRIPTION OF SERVICES | COMPENSATION |
|---|-------------------------|--------------|
| MISSOURI YOUTH SERVICES INSTITUTE<br>1906 HAYSELTON DRIVE<br>JEFFERSON CITY, MO 65109 | THERAPY/TRAIN/SUPP.     | 422,400.     |
| SPEAK OUT NEW YORK<br>100 READE STREET<br>NEW YORK, NY 10013                          | SPEECH THERAPY          | 166,750.     |
| BKD, LLP<br>655 THIRD AVENUE, SUITE 1200  | AUDIT                   | 165,398.     |

Name of the organization Employer identification number RISING GROUND, INC. 13-1860451 ATTACHMENT 3 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

NAME AND ADDRESS DESCRIPTION OF SERVICES COMPENSATION

NEW YORK, NY 10017

MONROE & WEISBROD, LLC PSYCHIATRY RECRUIT. 151,598.

P.O. BOX 130609

BIRMINGHAM, AL 35213

INTERFYSIO, LLC HEALTHCARE STAFFING 148,325.

1345 6TH AVENUE, 11TH FLOOR

NEW YORK, NY 10105

#### SCHEDULE R (Form 990)

Department of the Treasury

Internal Revenue Service

# **Related Organizations and Unrelated Partnerships**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047
2019
Open to Public Inspection

Name of the organization RISING GROUND, INC.

Employer identification number 13-1860451

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f) Direct controlling entity |
|--|----------------------|---|---------------------|---------------------------|-------------------------------|
| (1) RISING GRND EMPLOYEE BENEFITS PRGM TRUST                     |                      |   |                     |                           |                               |
| 151 LAWRENCE STREET, 5TH FLOOR BROOKLYN, NY 11201                | EMPLOYEE BENS        | NY  | 10,200,000.         | 5,227,718.                | RISING GRND                   |
| (2)  |                      |   |                     |                           |                               |
|  |                      |   |                     |                           |                               |
| (3)  |                      |   |                     |                           |                               |
|  |                      |   |                     |                           |                               |
| (4)  |                      |   |                     |                           |                               |
|  |                      |   |                     |                           |                               |
| (5)  |                      |   |                     |                           |                               |
|  |                      |   |                     |                           |                               |
| (6)  |                      |   |                     |                           |                               |
|  |                      |   |                     |                           |                               |

Part II Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

| (a)  Name, address, and EIN of related organization     | <b>(b)</b> Primary activity | (c) Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | Section 5<br>contr<br>enti | 12(b)(13)<br>olled |
|---|-----------------------------|---|----------------------------|--|-------------------------------|----------------------------|--------------------|
|   |                             |   |                            |  |                               | Yes                        | No                 |
| (1) THE EMERGENCY SHELTER, INC. 13-5562213              |                             |   |                            |  |                               |                            |                    |
| 151 LAWRENCE STREET, 5TH FLOOR BROOKLYN, NY 11201       | SHELTERING                  | NY  | 501(C)(3)                  | PF   | RISING GRND                   | X                          |                    |
| (2) EDWIN GOULD SVCS FOR CHILDREN & FAMILIES 13-5675643 |                             |   |                            |  |                               |                            |                    |
| 151 LAWRENCE STREET, 5TH FLOOR BROOKLYN, NY 11201       | SPECIAL NEEDS               | NY  | 501(C)(3)                  | 10   | RISING GRND                   | X                          |                    |
| (3) KINGSLAND SERVICES FUND INC 51-0139267              |                             |   |                            |  |                               |                            |                    |
| 151 LAWRENCE STREET, 5TH FLOOR BROOKLYN, NY 11201       | OWN PROPERTY                | NY  | 501(C)(3)                  | 12A  | EDWIN GOULD                   | X                          |                    |
| (4)   |                             |   |                            |  |                               |                            |                    |
|   |                             |   |                            |  |                               |                            |                    |
| _(5)  |                             |   |                            |  |                               |                            |                    |
|   |                             |   |                            |  |                               |                            |                    |
| (6)   |                             |   |                            |  |                               |                            |                    |
|   |                             |   |                            |  |                               |                            |                    |
| (7)   |                             |   |                            |  |                               |                            |                    |
|   |                             |   |                            |  |                               |                            |                    |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2019

RISING GROUND, INC. 13-1860451

Schedule R (Form 990) 2019

|          | THE COURT OF THE PARTY OF THE P |
|----------|--|
| Dart III | Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34,   |
|          | because it had one or more related organizations treated as a partnership during the tax year.   |
|          | DECAUSE IL HAU OHE OF HIDTE TETALEU OTUANIZALIOTIS LIEGIEU AS A DATLIETSHID UUNITU THE LAX VEAL.   |

| (a) Name, address, and EIN of related organization | <b>(b)</b><br>Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | controlling redominant income (related, unrelated, excluded from Share of total share of end-of-year assets allocations? Code V amount in of Scheduled from Share of end-of-year assets allocations? |  | (i)<br>Code V - UBI<br>amount in box 20<br>of Schedule K-1<br>(Form 1065) | box 20 managir<br>e K-1 partner |  | (k)<br>Percentage<br>ownership |    |  |
|--|--------------------------------|---|-------------------------------|--|--|---|---------------------------------|--|--------------------------------|----|--|
|  |                                | Country)                                      |                               |  |  | Yes   | No                              |  | Yes                            | No |  |
| (1)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
| (2)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
| (3)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
| (4)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
| (5)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
| (6)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
| (7)  |                                |   |                               |  |  |   |                                 |  |                                |    |  |
|  |                                |   |                               |  |  |   |                                 |  |                                |    |  |

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization | <b>(b)</b><br>Primary activity | (c) Legal domicile (state or foreign country) | (d)<br>Direct controlling | (e) Type of entity (C corp, S corp, or trust) | (f)<br>Share of total | (g)<br>Share of<br>end-of-year assets | (h)<br>Percentage<br>ownership | (i)<br>Section<br>512(b)(13)<br>controlled<br>entity? |
|--|--------------------------------|---|---------------------------|---|-----------------------|---------------------------------------|--------------------------------|---|
|  |                                |   |                           |   |                       |                                       |                                | Yes No  |
| (1)  |                                |   |                           |   |                       |                                       |                                |   |
| (2)  |                                |   |                           |   |                       |                                       |                                |   |
| (3)  |                                |   |                           |   |                       |                                       |                                |   |
| (4)  |                                |   |                           |   |                       |                                       |                                |   |
| (5)  |                                |   |                           |   |                       |                                       |                                |   |
| (6)  |                                |   |                           |   |                       |                                       |                                |   |
| (7)  |                                |   |                           |   |                       |                                       |                                |   |

Page 3 Schedule R (Form 990) 2019

Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

| 1   | During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?                    |                  |      |      |
|-----|--|------------------|------|------|
| а   | Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity.   | 1a               |      | Х    |
| b   |  |                  |      | Х    |
| С   |  |                  | Х    |      |
|     | Loans or loan guarantees to or for related organization(s)   |                  |      | Х    |
|     | Loans or loan guarantees by related organization(s)  | 1e               | Х    |      |
|     |  |                  |      |      |
| f   | Dividends from related organization(s)   | 1f               |      | X    |
| q   |  |                  |      | Х    |
| h   |  |                  |      | Х    |
| i   | Exchange of assets with related organization(s).   | 1i               |      | Х    |
| i   | Lease of facilities, equipment, or other assets to related organization(s).  | 1j               |      | Х    |
| •   |  |                  |      |      |
| k   | Lease of facilities, equipment, or other assets from related organization(s)   | 1k               | Х    |      |
| ï   | Performance of services or membership or fundraising solicitations for related organization(s)   |                  | Х    |      |
| m   | Performance of services or membership or fundraising solicitations by related organization(s)  |                  |      | Х    |
|     |  |                  |      | Х    |
|     | Sharing of paid employees with related organization(s)   | 10               | Х    |      |
|     |  |                  |      |      |
| р   | Reimbursement paid to related organization(s) for expenses   | 1p               |      | X    |
|     | Reimbursement paid by related organization(s) for expenses   |                  |      | Х    |
| •   |  |                  |      |      |
| r   | Other transfer of cash or property to related organization(s)  | 1r               |      | X    |
|     | Other transfer of cash or property from related organization(s)  | 1s               |      | Х    |
| 2   | If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction three | eshold           | s.   |      |
|     | (a) (b) (c)  Name of related organization Transaction Amount involved Method   | (d)<br>d of dete |      |      |
|     |  | or dete          |      | g    |
|     |  |                  |      |      |
|     |  |                  |      |      |
| (1) |  |                  |      |      |
|     |  |                  |      |      |
| (2) |  |                  |      |      |
|     |  |                  |      |      |
| (3) |  |                  |      |      |
|     |  |                  |      |      |
| (4) |  |                  |      |      |
|     |  |                  |      |      |
| (5) |  |                  |      |      |
|     |  |                  |      |      |
| (6) |  | <del></del>      | 000, |      |
| JSA | Schedule R   | (Form            | 990) | 2019 |

Part V

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

Yes No

RISING GROUND, INC. 13-1860451

Schedule R (Form 990) 2019

### Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a)<br>Name, address, and EIN of entity | (b) Primary activity  Cc Legal domicile (state or foreign country)  u |  | income (related, unrelated, excluded from tax under organizations? |     |    | (f) Share of total income (g) Share of end-of-year assets |  | (h) Disproportionate allocations? |    | (i)<br>Code V - UBI<br>amount in box 20<br>of Schedule K-1<br>(Form 1065) | (j)<br>General or<br>managing<br>partner? |    | (k)<br>Percentage<br>ownership |  |
|---|---|--|--|-----|----|---|--|-----------------------------------|----|---|---|----|--------------------------------|--|
|   |   |  | sections 512-514)  | Yes | No |   |  | Yes                               | No | ,   | Yes                                       | No |                                |  |
|   |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (2)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (3)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (4)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (5)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (6)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
|   |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (8)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (9)                                     |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (10)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (11)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (12)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (13)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (14)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (15)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (4.0)                                   |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |
| (16)                                    |   |  |  |     |    |   |  |                                   |    |   |   |    |                                |  |

Schedule R (Form 990) 2019 Page 5

Part VII Supplemental Information
Provide additional information for responses to questions on Schedule R. See instructions.